# REPRESENTATION LEARNING ON KNOWLEDGE GRAPHS: FROM SHALLOW EMBEDDING TO GRAPH NEURAL NETWORKS

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## Roadmap

### • PARI I:

- Shallow knowledge graph embedding
- PART II:
  - Bringing additional symbolic knowledge into knowledge graph embedding

### • PART III:

• Graph neural networks

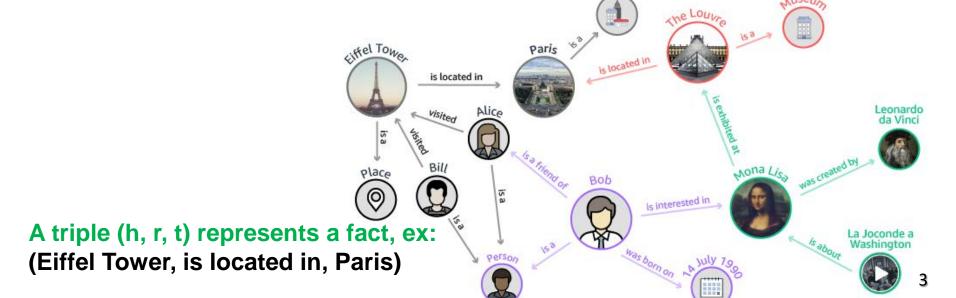
#### **PART I**

### Shallow knowledge graph embedding

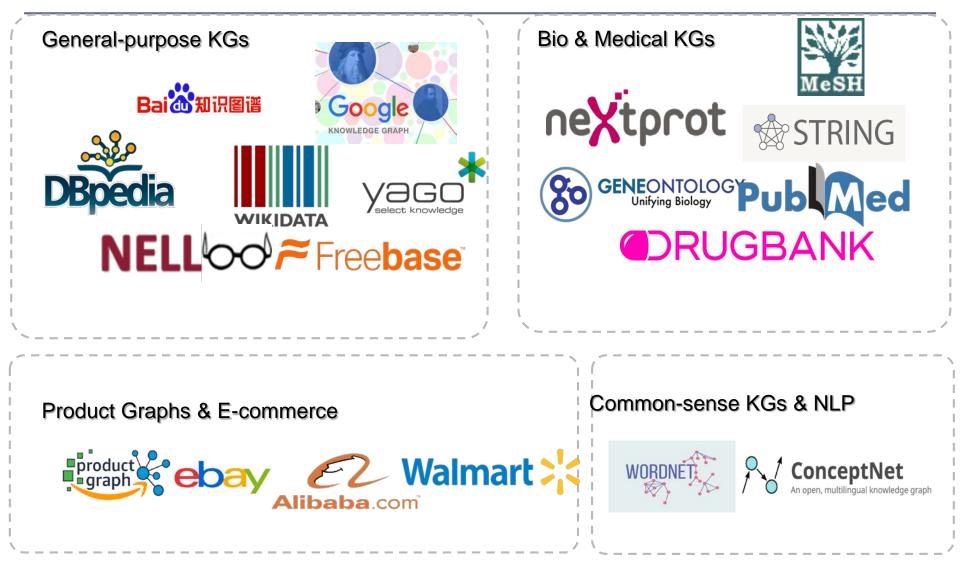
## **Knowledge Graph**

#### What are knowledge graphs?

- Multi-relational graph data
  - (heterogeneous information network)
- Provide structured representation for semantic relationships between real-world entities

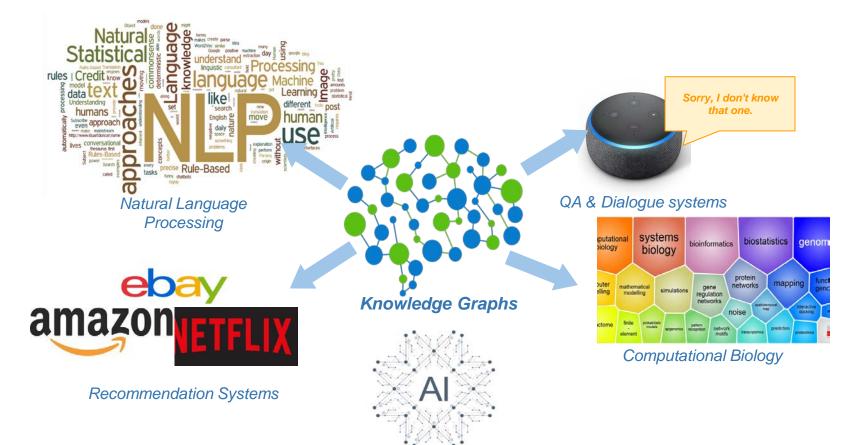


## **Examples of KG**



## **Applications of KGs**

- Foundational to knowledge-driven AI systems
- Enable many downstream applications (NLP tasks, QA systems, etc)



## **Knowledge Graph Embedding**

#### •Goal:

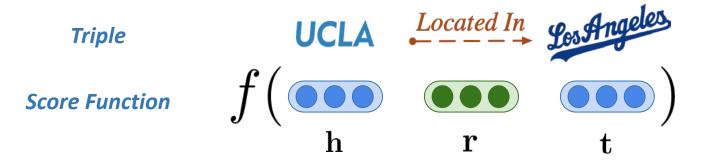
• Encode entities as low-dimensional vectors and relations as parametric algebraic operations

## Applications:

- Dialogue agents
- Question answering
- Machine comprehension
- Recommender systems

## Key Idea of KG embedding algorithms

- Define a score function for a triple:  $f_r(h, t)$ 
  - According to entity and relation representation



- Define a loss function to guide the training
  - E.g., an observed triple scores higher than a negative one

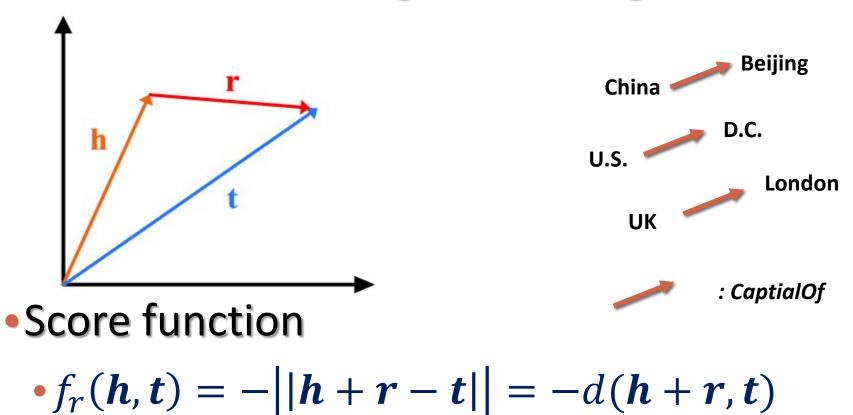
## **Summary of Existing Approaches**

Model	Score Function		
SE (Bordes et al., 2011)	$-\left\ \boldsymbol{W}_{r,1}\mathbf{h}-\boldsymbol{W}_{r,2}\mathbf{t}\right\ $	$\mathbf{h}, \mathbf{t} \in \mathbb{R}^k, oldsymbol{W}_{r,\cdot} \in \mathbb{R}^{k  imes k}$	
TransE (Bordes et al., 2013)	$\ \mathbf{h} + \mathbf{r} - \mathbf{t}\ $	$\mathbf{h}, \mathbf{r}, \mathbf{t} \in \mathbb{R}^k$	
TransX	$\  - \  g_{r,1}(\mathbf{h}) + \mathbf{r} - g_{r,2}(\mathbf{t}) \ $	$\mathbf{h},\mathbf{r},\mathbf{t}\in\mathbb{R}^k$	
DistMult (Yang et al., 2014)	$\langle {f r}, {f h}, {f t}  angle$	$\mathbf{h},\mathbf{r},\mathbf{t}\in\mathbb{R}^k$	
ComplEx (Trouillon et al., 2016)	$\operatorname{Re}(\langle \mathbf{r}, \mathbf{h}, \overline{\mathbf{t}}  angle)$	$\mathbf{h}, \mathbf{r}, \mathbf{t} \in \mathbb{C}^k$	
HolE (Nickel et al., 2016)	$\langle {f r}, {f h} \otimes {f t}  angle$	$\mathbf{h},\mathbf{r},\mathbf{t}\in\mathbb{R}^k$	
ConvE (Dettmers et al., 2017)	$\langle \sigma(\operatorname{vec}(\sigma([\overline{\mathbf{r}},\overline{\mathbf{h}}]*\mathbf{\Omega})) \boldsymbol{W}),\mathbf{t}  angle$	$\mathbf{h},\mathbf{r},\mathbf{t}\in\mathbb{R}^k$	
RotatE	$\ \mathbf{h}\circ\mathbf{r}-\mathbf{t}\ ^2$	$\mathbf{h}, \mathbf{r}, \mathbf{t} \in \mathbb{C}^k,  r_i  = 1$	

Source: Sun et al., RotatE: Knowledge Graph Embedding by Relational Rotation in Complex Space (ICLR'19)

### **TransE: Score Function**

Relation: translating embedding



Bordes et al., Translating embeddings for modeling multi-relational data, NeurIPS 2013

## **TransE: Objective Function**

#### Objective Function

- Margin-based ranking loss
- $L = \sum_{(h,r,t)\in S} \sum_{(h',r,t')\in S'_{(h,r,t)}} [\gamma + d(h + r, t) d(h' + r, t')]_+$ 
  - $[x]_+$  denotes the positive part of x, i.e., max(0, x)
  - $\gamma > 0$  denotes the margin hyperparameter
    - The higher the bigger difference between positive triple and negative one
  - S: positive triple set; S': corrupted triple set (negative triples)
- Optimization: stochastic gradient descent

## **TransE: Limitations**

#### •One-one mapping: $t = \phi_r(h)$

- Given (h,r), t is unique
- Given (r,t), h is unique

### Anti-symmetric

- If r(h,t) then r(t,h) is not true
- Cannot model symmetric relation, e.g., friendship

## Anti-reflexive

- •r(h,h) is not true
- Cannot model reflexive relations, e.g., synonym

## DistMult

#### Bilinear score function

- $f_r(\boldsymbol{h}, \boldsymbol{t}) = \boldsymbol{h}^T \boldsymbol{M}_r \boldsymbol{t}$ 
  - ${\scriptstyle \bullet}$  Where  ${\it M}_{\it r}$  is a diagonal matrix with diagonal vector  ${\it r}$
- A simplification to neural tensor network (NTN)

## Objective function

•  $L = \sum_{(h,r,t)\in S} \sum_{(h',r,t')\in S'_{(h,r,t)}} [\gamma - f_r(h,t) + f_r(h',t')]_+$ 

### Limitation

• Can only model symmetric relation

• 
$$f_r(\boldsymbol{h}, \boldsymbol{t}) = f_r(\boldsymbol{t}, \boldsymbol{h})$$

Yang et al., Embedding entities and relations for learning and inference in knowledge bases, ICLR 2015

## **PART II**

 Bringing additional symbolic knowledge into knowledge graph embedding

### Outline



#### Bringing First-Order Logic into Uncertain KG Embedding

 Bringing Ontological Concepts and Meta Relations into KG Embedding

#### Summary & Future Work

## Limitations

#### Closed-world assumption

- Observed triples are true facts
- Unseen triples are false

### Flat structure assumption

- No additional structures
- Every triple is scored using the same form of score function

## **Solutions**

#### From deterministic KGs to uncertain KGs

- Bringing logic rules and probabilistic soft logic to handle uncertainty
- Examples of uncertain KGs **NELL** [Mitchell et al. 2018]
- From one-view KGs to two-view KGs
  - Bringing ontological concepts and meta relations

### Outline

Introduction

- Bringing First-Order Logic into Uncertain KG
   Embedding
  - Chen et al., "*Embedding Uncertain Knowledge Graphs*," AAAI'19
- Bringing Ontological Concepts and Meta Relations into KG Embedding
- Summary & Future Work

## **Two Types of Errors in KG**

#### False positive

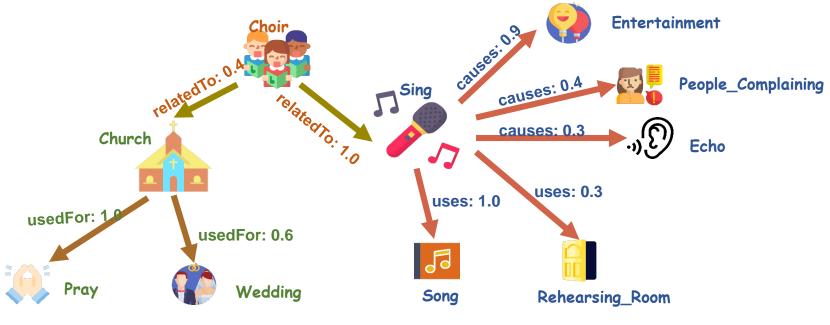
- An observed triple is wrong,
  - e.g., (Obama, is\_born\_in, Kenya)

#### False negative

- A true fact is missing
  - e.g., (Eiffel Tower, is located in, France)

## **Handling Uncertainty in Triples**

- False positive errors can be alleviated by introducing uncertainty
  - E.g., (Obama, is\_born\_in, Kenya): 0.01



• Fit  $f_r(h, t)$  to uncertainty scores

## From score function to uncertainty score

- Given a triple l = (h, r, t) with uncertainty score  $s_l$ 
  - Transform  $f_r(h, t)$  into a score in the range [0,1]
    - E.g., for DisMult score function

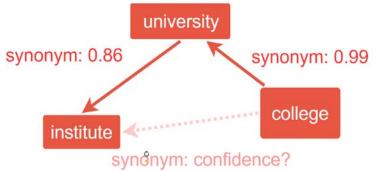
- Where  $\phi(\cdot)$  can be defined as
- Logistic function  $\phi(x) = rac{1}{1+e^{-(\mathbf{w}x+\mathbf{b})}}$  UKGE(logi)
- Bounded Rectifier  $\phi(x) = \min(\max(\mathbf{w}x + \mathbf{b}, 0), 1)$  UKGE(rect)

 $\Phi(\underline{\circ}, \circ) \to S_l$ 

ground truth confidence

## **Handling Missing Facts**

- Are unseen triples still needed?
  - Yes, negative triples are still data points!
- Can we treat them as false, i.e., s<sub>l</sub> = 0, if triple l is unseen?
  - No, we are going to make too many mistakes!
    - The potential probability of an unseen triple could be higher than an observed triple with low confidence



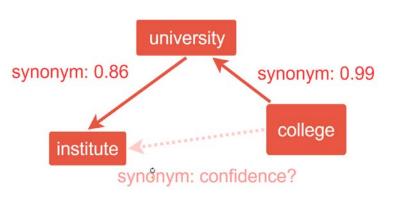
# **Bringing Logic Rules**

#### What are logic rules?

- Logic rule
  - (<u>A</u>, synonym, <u>B</u>)  $\land$  (<u>B</u>, synonym, <u>C</u>)  $\rightarrow$  (<u>A</u>, synonym, <u>C</u>)
- Ground rule
  - (college, synonym, university) ∧ (university , synonym, institute) → (college, synonym, institute)

## •Why are they helpful?

- Help us to infer the score
- for unseen triples



## **Probabilistic Soft Logic**

- Quantify a ground rule using PSL
  - Lukasiewicz t-norm, from Boolean logic to soft logic

$$l_1 \wedge l_2 = \max\{0, I(l_1) + I(l_2) - 1\}$$
  
$$l_1 \vee l_2 = \min\{1, I(l_1) + I(l_2)\}$$
  
$$\neg l_1 = 1 - I(l_1)$$

- Probability of a ground rule  $\gamma \equiv \gamma_{body} \rightarrow \gamma_{head}$ 
  - $p_{\gamma} = I(\neg \gamma_{body} \lor \gamma_{head}) = \min\{1, 1 I(\gamma_{body}) + I(\gamma_{head}))$
- Distance to satisfaction

• 
$$d_{\gamma} = 1 - p_{\gamma} = \max\{0, I(\gamma_{body}) - I(\gamma_{head})\}$$

More publications on PSL: https://psl.linqs.org/

#### The Goal: Minimize Distance to Satisfaction

### Example: Consider the following ground rule

 $l_1$  confidence: 0.99  $l_2$  confidence: 0.86 • (college, synonym, university) ∧ (university, synonym, institute) → (college, synonym, institute)  $l_3$  confidence: ?

• Recall, 
$$d_{\gamma} = 1 - p_{\gamma} = \max\{0, I(\gamma_{body}) - I(\gamma_{head})\}$$

$$\begin{aligned} d_{\gamma} &= \max\{0, \underbrace{I(l_{1} \land l_{2})}_{0.99} - I(l_{3})\} \\ &= \max\{0, \underbrace{s_{l_{1}}}_{0.99} + \underbrace{s_{l_{2}}}_{0.86} - 1 - f(l_{3})\} \\ &= \max\{0, 0.85 - f(l_{3})\} \end{aligned}$$
Say, our embedding model predicts it as 0.65.
How good is this prediction?

## The New Embedding Model

- For observed triples, force its score close to ground truth score
- For unseen triples, minimize the distance to satisfaction in ground rules they are involved

$$\mathcal{J} = \sum_{l \in \mathcal{L}^+} \|f(l) - s_l\|^2 + \sum_{l \in \mathcal{L}^-} \sum_{\gamma \in \Gamma_l} |\psi_\gamma(f(l))|^2$$

**Embedding-based confidence** function Distance to satisfaction for a ground rule  $\gamma$ , where *triple l* is involved in

#### **Experiments**

#### Datasets

Dataset	#Ent.	#Rel.	#Rel. Facts	Avg(s)	$\operatorname{Std}(s)$
CN15k	15,000	36	241,158	0.629	0.232
NL27k	27,221	404	175,412	0.797	0.242
PPI5k	5,000	7	271,666	0.415	0.213

#### Logic Rules

(A, related to, B) $\land$ (B, related to, C) $\rightarrow$ (A, related to, C) (A, causes, B) $\land$ (B, causes, C) $\rightarrow$ (A, causes, C)

(A, competeswith, B) $\land$ (B, competeswith, C) $\rightarrow$ (A, competeswith, C) (A, atheletePlaysForTeam, B) $\land$ (B, teamPlaysSports, C) $\rightarrow$ (A, atheletePlaysSports, C)

(A, binding, B) $\land$ (B, binding, C) $\rightarrow$ (A, binding, C)

## Baselines

- Deterministic KG embedding models, which does not model confidence scores explicitly
  - TransE [Bordes et al. 2013)]
  - DistMult [Yang et al. 2015]
  - ComplEx [Trouillon et al. 2016]
- Uncertain Graph Embedding, which only provides node embeddings
  - URGE [Hu et al. 2017]
- Two simplified version of our models
  - Without Negative Sampling (UKGE\_n-)
    - Can we just ignore the negative links during training?
  - Without PSL (UKGE\_p-)
    - Will simply treating unseen relations as 0 a good strategy?

## **Relation Fact Confidence Score Prediction**

- Given an unseen triple (h,r,t), predict its confidence
- Metrics: MSE and MAE ( $\times 10^{-2}$ )

Dataset	CN	15k	NL	27k	PP	I5k
Metrics						
URGE	10.32	22.72	7.48	11.35	1.44	6.00
$UKGE_{n-}$						
$UKGE_{p-}$	9.02	20.05	2.67	7.03	0.96	4.09
$UKGE_{rect}$						
UKGE <sub>logi</sub>	9.86	20.74	3.43	7.93	0.96	4.07

## **Relation Fact Ranking**

- Given a query (h, r, <u>?t</u>), rank all entities in our vocabulary as tail candidates
- Metrics: normalized Discounted Cumulative Gain (nDCG) (linear gain and exp gain)

metrics	CN	15K	NL	27k	PP	I5k
Dataset		-		-		-
TransE						
DistMult	0.689	0.677	0.911	0.897	0.894	0.880
ComplEx	0.723	0.712	0.921	0.913	0.896	0.881
URGE						
UKGE <sub>n-</sub>						
$UKGE_{p-}$	0.769	0.768	0.933	0.929	0.940	0.944
UKGE <sub>rect</sub>						
UKGE <sub>logi</sub>	0.789	0.788	0.955	0.956	0.970	0.969

### **Relation Fact Ranking – Case Study**

			Ground Truth Entity Score	Entity P	Predictions redicted Score True Score
CN15k	<mark>house</mark>	usedfor	<mark>sleeping</mark> 1.0 <mark>rest</mark> 0.98		<mark>relaxing 0.86 N/A</mark> sleeping 0.85 1.0
			bed away from hom <mark>stay overnight</mark> 0.71	<mark>e</mark> 0.71	<mark>rest</mark> 0.82 0.98 <mark>hotel room 0.80 N/A</mark>
NL27k	Toyota	competeswith	Honda 1.0 Ford 1.0 BMW 0.96 General Motors 0.90	)	Honda 0.94 1.0 Hyundai 0.91 0.72 <u>Chrysler 0.90 N/A</u> Nissan 0.89 0.86

### Outline

#### Introduction

- Bringing First-Order Logic into Uncertain KG Embedding
- Bringing Ontological Concepts and Meta Relations into KG Embedding
  - Hao et al., "<u>Universal Representation Learning of</u> <u>Knowledge Bases by Jointly Embedding Instances</u> <u>and Ontological Concepts</u>," KDD'19
- Summary & Future Work

# Why ontological view?

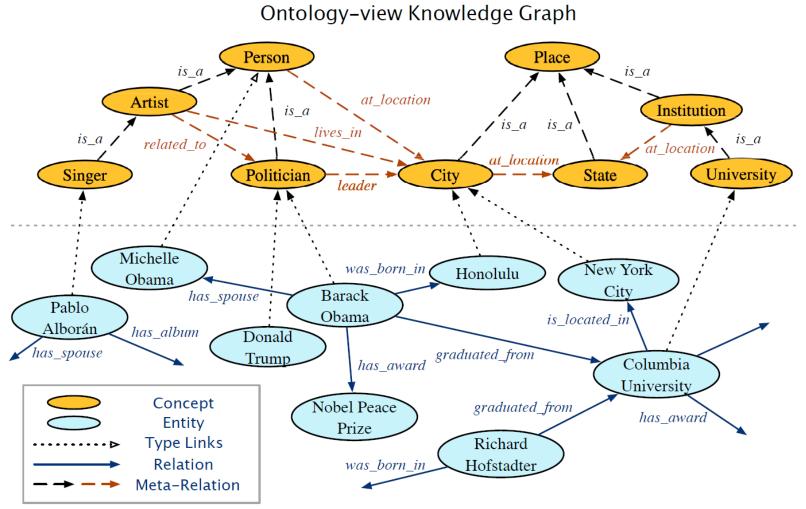
- Meta-Level reasoning
  - What kind of relations would a scientist has?
    - Works in universities or research labs
    - Graduated from some university



Bring more information to instances, which especially benefits long-tail entities

• E.g., given Anna is a scientist, she should be close to other scientists in the embedding space

## **Instance View and Ontological View of KG**



Instance-view Knowledge Graph

## **More on Ontological View**

#### Relation to Schema

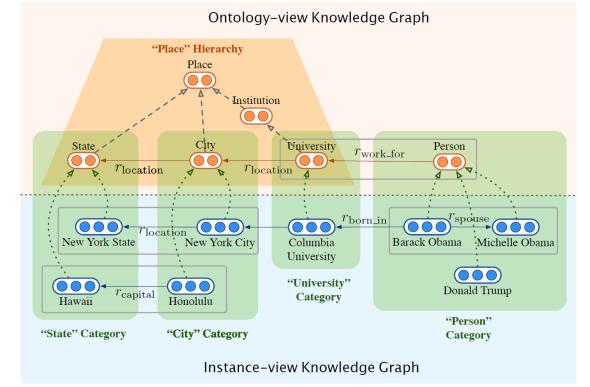
- Schema provides a template or guidance on what types of relation could hold for a specific pair of entity types
- Also potentially with hierarchical taxonomy

#### •How to get it?

- Integrate KG with other sources
  - E.g., align YAGO with ConceptNet

## **Joint Embedding**

- Instance embedding provide detailed and rich information for their corresponding ontological concepts
- Ontological concepts largely determine the embedding of their instances



#### **Cross-View Association Model**

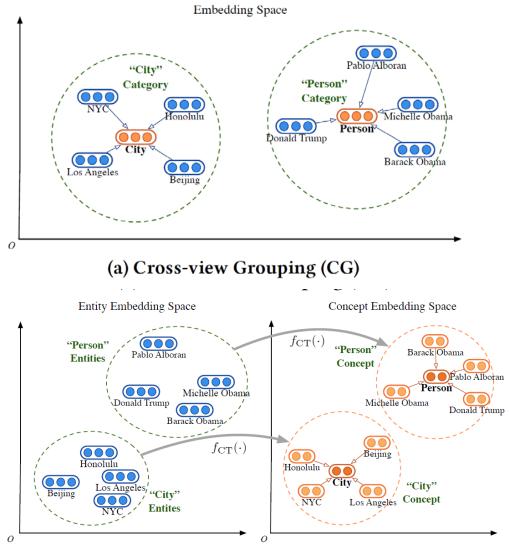
- Based on cross-view links, associate the instance embedding space and ontological embedding space
  - Option 1 (**Cross-View Grouping, CG**): force the two spaces into the same space

• 
$$J_{\text{Cross}}^{\text{CG}} = \frac{1}{|\mathcal{S}|} \sum_{(e,c)\in\mathcal{S}} \left[ ||\mathbf{c} - \mathbf{e}||_2 - \gamma^{\text{CG}} \right]_+$$

• Option 2 (Cross-View Transformation, CT): transform instance space into ontological space

• 
$$J_{\text{Cross}}^{\text{CT}} = \frac{1}{|\mathcal{S}|} \sum_{\substack{(e,c) \in \mathcal{S} \\ \wedge (e,c') \notin \mathcal{S}}} \left[ \gamma^{\text{CT}} + ||\mathbf{c} - f_{\text{CT}}(\mathbf{e})||_2 - \left\| \mathbf{c'} - f_{\text{CT}}(\mathbf{e}) \right\|_2 \right]_+$$

#### **Illustration of CG and CT**



## **Hierarchy-Aware Intra-View Model**

#### Base models could be any existing KG embedding models

• Examples:  $f_{\text{TransE}}(\mathbf{h}, \mathbf{r}, \mathbf{t}) = -||\mathbf{h} + \mathbf{r} - \mathbf{t}||_2$  $f_{\text{Mult}}(\mathbf{h}, \mathbf{r}, \mathbf{t}) = (\mathbf{h} \circ \mathbf{t}) \cdot \mathbf{r}$ 

 $f_{\text{HolE}}(\mathbf{h}, \mathbf{r}, \mathbf{t}) = (\mathbf{h} \star \mathbf{t}) \cdot \mathbf{r}$ 

# Hierarchy-aware embedding

• Similar to CT, transform lower-level concepts into higher-level concepts

$$g_{\text{HA}}(\mathbf{c}_h) = \sigma(\mathbf{W}_{\text{HA}} \cdot \mathbf{c}_l + \mathbf{b}_{\text{HA}})$$

### **The Joint Model**

Combine cross-view model and intra-view model

$$J = J_{\text{Intra}} + \omega \cdot J_{\text{Cross}}$$

• Where 
$$J_{\text{Intra}} = J_{\text{Intra}}^{\mathcal{G}_{I}} + \alpha_1 \cdot J_{\text{Intra}}^{\mathcal{G}_{O} \setminus \mathcal{T}} + \alpha_2 \cdot J_{\text{Intra}}^{\text{HA}}$$

#### **Experiments**

#### Datasets

#### Constructed two new datasets from YAGO and DBpedia

Dataset	Instance Graph $\mathcal{G}_I$			Ot	Type Links $S$			
Dataset	#Entities	#Relations	#Triples	#Concepts	#Meta-relations	#Triples	Type Links O	
YAGO26K-906	26,078	34	390,738	906	30	8,962	9,962	
DB111K-174	111,762	305	863,643	174	20	763	99,748	

#### Tasks

- Triple completion
- Entity typing
- Ontology population
- Baselines: treat all links equally

# **Triple Completion**

#### CT is better than CG

#### Hierarchy needs to be handled

Datasets		YAGO2	26K-906			DB1			11K-174			
Graphs	$\mathcal{G}_I$ KG Completion			$\mathcal{G}_O$ KG Completion			$\mathcal{G}_I$ KG Completion			$\mathcal{G}_O$ KG Completion		
Metrics	MRR	H@1	H@10	MRR	H@1	H@10	MRR	H@1	H@10	MRR	H@1	H@10
TransE (base)	0.195	14.09	34.51	0.145	12.29	20.59	0.327	22.26	49.01	0.313	23.22	46.91
TransE (all)	0.187	13.73	35.05	0.189	14.72	24.36	0.318	22.70	48.12	0.539	47.90	61.84
TransC	0.252	15.71	37.79	-	_	-	0.359	24.83	49.31	-	_	_
JOIE-TransE-CG	0.264	16.38	35.45	0.189	11.16	29.44	0.394	27.75	51.20	0.598	53.84	71.79
JOIE-TransE-CT	0.292	18.72	44.14	0.240	14.49	33.47	0.443	32.10	67.89	0.622	<u>58.10</u>	72.97
JOIE-HATransE-CT	0.306	18.62	51.72	<u>0.263</u>	16.72	<u>38.46</u>	<u>0.473</u>	<u>33.79</u>	71.37	0.591	52.07	<u>79.65</u>
DistMult (base)	0.253	22.91	28.76	0.197	17.72	25.08	0.265	25.95	27.63	0.235	15.18	29.11
DistMult (all)	0.288	24.06	31.24	0.156	14.32	16.54	0.280	27.24	29.70	0.501	45.52	64.73
JOIE-Mult-CG	0.274	18.80	37.45	0.198	11.16	27.91	0.320	23.44	49.49	0.532	46.15	68.91
JOIE-Mult-CT	0.309	20.40	46.15	0.207	14.71	30.43	0.404	26.55	60.86	0.563	50.50	71.62
JOIE-HAMult-CT	0.296	19.39	45.48	0.202	13.72	31.10	0.369	24.82	55.86	0.521	38.46	77.25
HolE (base)	0.265	25.90	28.31	0.192	18.70	20.29	0.301	29.24	31.51	0.227	18.91	32.83
HolE (all)	0.252	24.22	26.56	0.138	11.29	14.43	0.295	28.70	30.32	0.432	38.80	56.05
JOIE-HolE-CG	0.253	18.75	34.11	0.167	13.04	22.33	0.361	24.13	46.15	0.469	41.89	62.16
JOIE-HolE-CT	0.313	20.40	47.80	0.229	<u>20.85</u>	28.42	0.425	29.09	66.88	0.514	43.24	69.23
JOIE-HAHolE-CT	<u>0.327</u>	22.42	<u>52.41</u>	0.236	16.72	30.96	0.464	33.11	69.56	0.503	40.80	71.03

# **Entity Typing**

# Significantly enhances the entity typing result

			-		~		
Datasets	YAGO26K-906			DB111K-174			
Metrics	MRR	Acc.	Hit@3	MRR	Acc.	Hit@3	
TransE	0.144	7.32	35.26	0.503	43.67	60.78	
MTransE	0.689	60.87	77.64	0.672	59.87	81.32	
JOIE-TransE-CG	0.829	72.63	93.35	0.828	70.58	95.11	
JOIE-TransE-CT	0.843	75.31	93.18	0.846	74.41	94.53	
JOIE-HATransE-CT	<u>0.897</u>	<u>85.60</u>	<u>95.91</u>	<u>0.857</u>	75.55	<u>95.91</u>	
DistMult	0.411	36.07	55.32	0.551	49.83	68.01	
JOIE-Mult-CG	0.762	62.62	87.82	0.764	60.83	91.80	
JOIE-Mult-CT	0.805	70.83	89.25	0.791	65.30	93.47	
JOIE-HAMult-CT	0.865	81.63	91.83	0.778	69.38	85.71	
HolE	0.395	34.83	54.79	0.504	44.75	65.38	
JOIE-HolE-CG	0.777	65.30	87.89	0.784	66.75	89.37	
JOIE-HolE-CT	0.813	72.27	88.71	0.805	68.84	91.22	
JOIE-HAHolE-CT	0.888	83.67	93.87	0.808	72.51	89.79	

# **Especially helpful for long-tail entities**

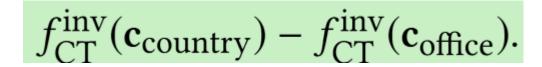
 We select entities in YAGO26K-906 which occurs less than 8 times and entities in DB111K-174 which occurs less than 3 times.

		-				~	
Datasets	YA	GO26K	-906	DB111K-174			
Metrics	MRR	Acc.	Hit@3	MRR	Acc.	Hit@3	
DistMult	0.156	10.89	25.33	0.219	16.48	33.71	
MTransE	0.526	46.45	67.25	0.505	46.67	64.36	
JOIE-TransE-CG	0.708	59.97	79.80	0.741	64.45	83.05	
JOIE-TransE-CT	0.737	62.05	82.60	0.758	66.35	83.80	
JOIE-HATransE-CT	0.802	69.66	87.75	0.760	67.34	89.79	

# **Ontology Population**

#### Knowledge completion at ontology view

Query	Top 5 Populated Triples with distances					
	scientist, <i>graduated from</i> , university (0.499) scientist, <i>isLeaderOf</i> , university (1.082) scientist, <i>isKnownFor</i> , university (1.098)					
(scientist,?r,						
university)	scientist, <i>created</i> , university (1.119)					
	scientist, <i>livesIn</i> , university (1.141)					
	boxer, <i>playsFor</i> , club (1.467)					
(hower 2m	boxer, <i>isAffiliatedTo</i> , club (1.474)					
(boxer, ?r, olub)	boxer, <i>worksAt</i> , club (1.479)					
club)	boxer, graduatedFrom, club (1.497)					
	boxer, <i>isConnectedTo</i> , club (1.552)					



#### Outline

Introduction

 Bringing First-Order Logic into Uncertain KG Embedding

- Bringing Ontological Concepts and Meta Relations into KG Embedding
- Summary & Future Work

# Summary

- Logic Rules and PSL can help us to better handle uncertainty and incompleteness of KG
  - Chen et al., Embedding Uncertain Knowledge Graphs, AAAI'19
- Ontological View provides additional information for KG, where different types of links should be handled differently
  - Hao et al., Universal Representation Learning of Knowledge Bases by Jointly Embedding Instances and Ontological Concepts, KDD'19

#### **Future Work**

 How to automatically detect logic rules in KG?

 How to better leverage schema to conduct multi-hop reasoning?

#### **PART III**

#### Graph neural networks

#### Outline



#### Graph Neural Networks

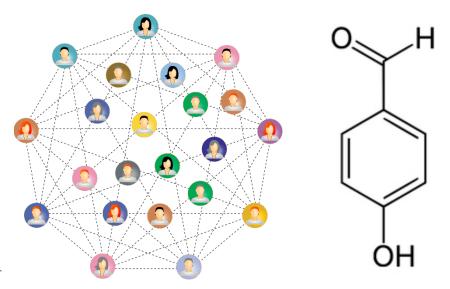
# Graph Neural Networks for Heterogeneous Graphs

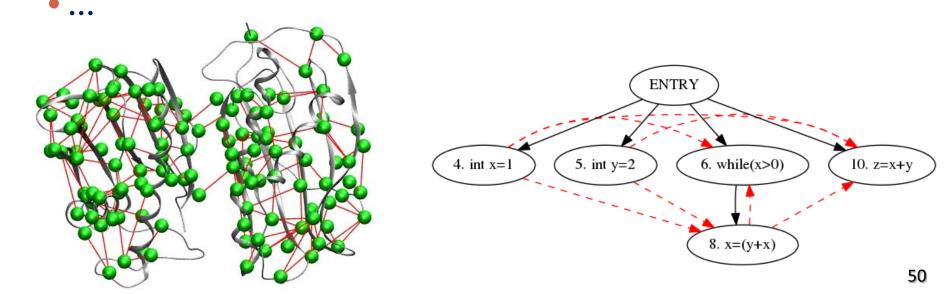
#### Discussions

# **Graph Analysis**

#### Graphs are ubiquitous

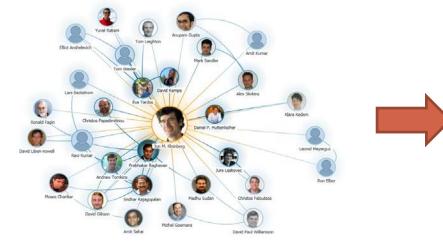
- Social networks
- Proteins
- Chemical compounds
- Program dependence graph

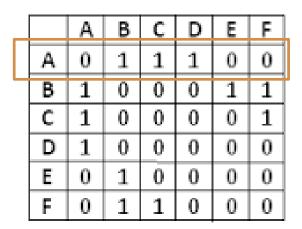




# **Representing Nodes and Graphs**

- Important for many graph related tasks
- Discrete nature makes it very challenging
- Naïve solutions



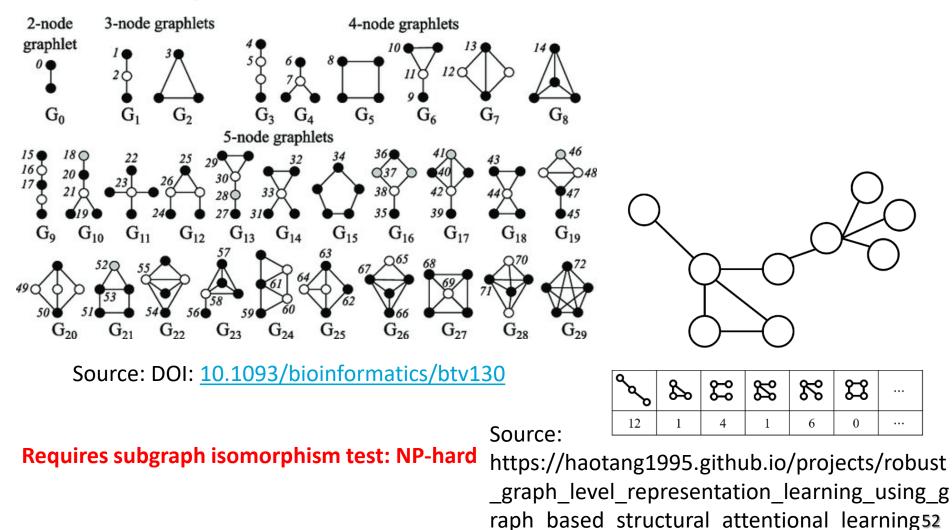


Limitations:

Extremely High-dimensional No global structure information integrated Permutation-variant

#### **Even more challenging for graph representation**

#### Ex. Graphlet-based feature vector



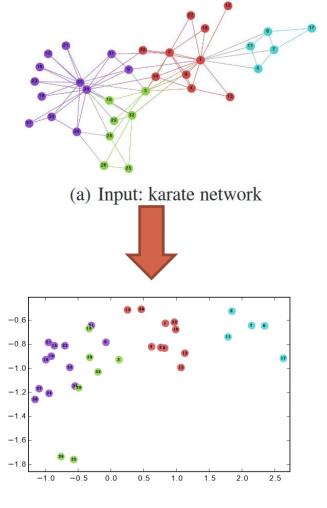
# **Automatic representation Learning**

- Map each node/graph into a low dimensional vector
  - $\bullet \phi \colon V \to R^d \text{ or } \phi \colon \mathcal{G} \to R^d$

# Earlier methods

- Shallow node embedding methods inspired by word2vec
  - DeepWalk [Perozzi, KDD'14]
  - LINE [Tang, WWW'15]
  - Node2Vec [Grover, KDD'16]

 $\phi(v) = U^T x_v$ , where U is the embedding matrix and  $x_v$  is the one-hot encoding vector



(b) Output: representations

Source: DeepWalk

#### Limitation of shallow embedding techniques

#### Too many parameters

• Each node is associated with an embedding vector, which are parameters

#### Not inductive

- Cannot handle new nodes
- Cannot handle node attributes

#### From shallow embedding to Graph Neural Networks

- The embedding function (encoder) is more complicated
  - Shallow embedding
    - $\phi(v) = U^T x_v$ , where U is the embedding matrix and  $x_v$  is the one-hot encoding vector
  - Graph neural networks
    - $\phi(v)$  is a neural network depending on the graph structure

#### Outline

Introduction

# Graph Neural Networks

# Graph Neural Networks for Heterogeneous Graphs

#### Discussions

## Notations

- •An attributed graph G = (V, E)
  - *V*: vertex set
  - E: edge set
  - A: adjacency matrix
  - $X \in \mathbb{R}^{d_0 \times |V|}$ : feature matrix for all the nodes
  - N(v): neighbors of node v
  - $h_{v}^{l}$ : Representation vector of node v at Layer l
    - Note  $h_v^0 = x_v$
  - $H^{l} \in \mathbb{R}^{d_{l} \times |V|}$ : representation matrix

## **The General Architecture of GNNs**

For a node v at layer t

$$h_v^{(t)} = f\left(\underline{h_v^{(t-1)}}, \left\{\underline{h_u^{(t-1)}}|u \in \mathcal{N}(v)\right\}\right)$$

representation vector from previous layer for node v representation vectors from previous layer for node v's neighbors

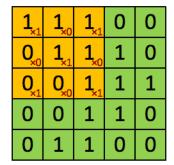
- A function of representations of neighbors and itself from previous layers
  - Aggregation of neighbors
  - Transformation to a different space
  - **Combination** of neighbors and the node itself

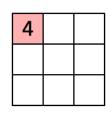
## **Compare with CNN**

Recall CNN

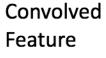
GNN

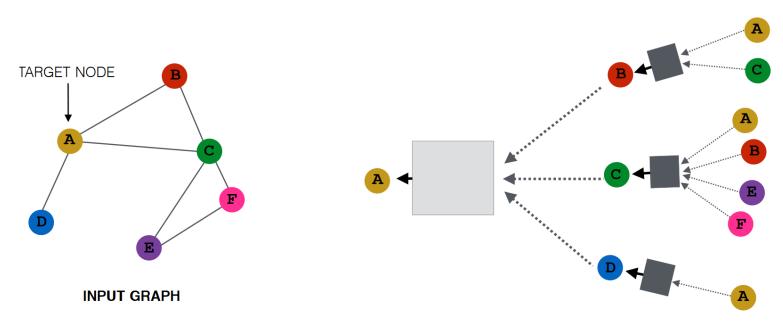
• Regular graph





• Extend to irregular graph structure





# **Graph Convolutional Network (GCN)**

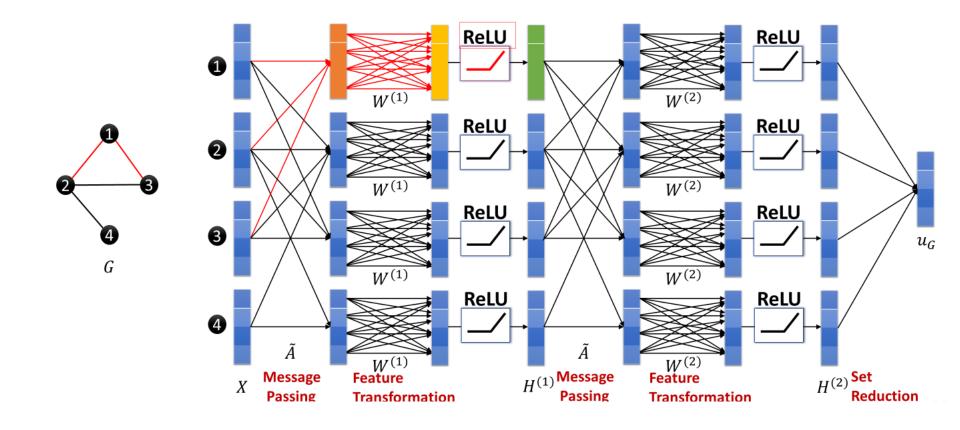
- Kipf and Welling, ICLR'17
  - $f(H^{(l)}, A) = \sigma\left(\hat{D}^{-\frac{1}{2}}\hat{A}\hat{D}^{-\frac{1}{2}}H^{(l)}W^{(l)}\right), \hat{A} = A + I$
  - f: graph filter
- From a node v's perspective

$$\mathbf{h}_{v}^{k} = \sigma \left( \mathbf{W}_{k} \sum_{u \in N(v) \cup v} \frac{\mathbf{h}_{u}^{k-1}}{\sqrt{|N(u)||N(v)|}} \right)$$

W<sub>k</sub>: weight matrix at Layer k, shared across different nodes

# A toy example of 2-layer GCN on a 4-node graph

#### Computation graph



#### GraphSAGE

 Inductive Representation Learning on Large Graphs William L. Hamilton\*, Rex Ying\*, Jure Leskovec, NeurIPS'17

$$\mathbf{h}_{\mathcal{N}(v)}^{k} \leftarrow \operatorname{AGGREGATE}_{k}(\{\mathbf{h}_{u}^{k-1}, \forall u \in \mathcal{N}(v)\})$$
$$\mathbf{h}_{v}^{k} \leftarrow \sigma\left(\mathbf{W}^{k} \cdot \operatorname{CONCAT}(\mathbf{h}_{v}^{k-1}, \mathbf{h}_{\mathcal{N}(v)}^{k})\right)$$

#### A more general form

$$\mathbf{h}_{v}^{k} = \sigma\left(\left[\mathbf{W}_{k} \cdot \overline{\operatorname{AGG}\left(\{\mathbf{h}_{u}^{k-1}, \forall u \in N(v)\}\right)}, \mathbf{B}_{k}^{*} \mathbf{h}_{v}^{k-1}\right]\right)$$

#### More about AGG

• Mean 
$$AGG = \sum_{u \in N(v)} \frac{\mathbf{h}_u^{k-1}}{|N(v)|}$$

• LSTM AGG = LSTM  $([\mathbf{h}_u^{k-1}, \forall u \in \pi(N(v))])$ •  $\pi(\cdot)$ : a random permutation

# Pool AGG = γ {Qh<sup>k-1</sup><sub>u</sub>, ∀u ∈ N(v)} γ(·): Element-wise mean/max pooling of neighbor set

## **Message-Passing Neural Network**

- Gilmer et al., 2017. Neural Message Passing for Quantum Chemistry. ICML.
- A general framework that subsumes most GNNs
  - Can also include edge information
- Two steps
  - Get messages from neighbors at step k

$$\mathbf{m}_v^k = \sum_{u \in N(v)} M(\mathbf{h}_u^{k-1}, \mathbf{h}_v^{k-1}, \mathbf{e}_{u,v}) \qquad \text{e.g., Sum or MLP}$$

• Update the node latent represent based on the msg

 $\mathbf{h}_v^k = U(\mathbf{h}_v^{k-1}, \mathbf{m}_v^k)$  e.g., LSTM, GRU

A special case: GGNN, Li et al., Gated graph sequence neural networks, ICLR 2015

# **Graph Attention Network (GAN)**

- How to decide the importance of neighbors?
  - GCN: a predefined weight
  - Others: no differentiation
- GAN: decide the weights using learnable attention
  - Velickovic et al., 2018. Graph Attention Networks. *ICLR*.

$$\vec{h}_i' = \sigma \left( \sum_{j \in \mathcal{N}_i} \alpha_{ij} \mathbf{W} \vec{h}_j \right)$$

#### The attention mechanism

Potentially many possible designs

$$\alpha_{ij} = \frac{\exp\left(\operatorname{LeakyReLU}\left(\vec{\mathbf{a}}^{T}[\mathbf{W}\vec{h}_{i}\|\mathbf{W}\vec{h}_{j}]\right)\right)}{\sum_{k \in \mathcal{N}_{i}} \exp\left(\operatorname{LeakyReLU}\left(\vec{\mathbf{a}}^{T}[\mathbf{W}\vec{h}_{i}\|\mathbf{W}\vec{h}_{k}]\right)\right)}$$

#### Outline

#### Introduction

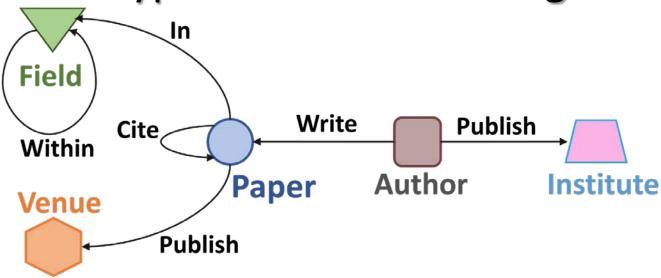
#### Graph Neural Networks

# Graph Neural Networks for Heterogeneous Graphs

#### Discussions

# What are Heterogeneous Networks?

Different types of nodes and edges



**Example: Network Schema of Academic Networks** 

- Other examples:
  - E-Commerce
  - Knowledge graphs

#### **Recap: GNNs**

Message passing framework

 $H^{l}[t] \leftarrow \underset{\forall s \in N(t), \forall e \in E(s, t)}{\mathsf{Aggregate}} \left( \mathsf{Extract} \left( H^{l-1}[s]; H^{l-1}[t], e \right) \right)$ 

 $Aggregate(\cdot)$ : aggregate messages from different neighbors and edges

 $Extract(\cdot)$ : extract a message from < t, e, s >

#### Attention scheme

$$H^{l}[t] \leftarrow \underset{\forall s \in N(t), \forall e \in E(s, t)}{\mathsf{Aggregate}} \left( \mathsf{Attention}(s, t) \cdot \mathsf{Message}(s) \right)$$

Attention(s,t): attention Message(s): score on the edge  $\langle s, t \rangle$  information from s

# **Challenges Raised by HIN**

Message passing framework

 $H^{l}[t] \leftarrow \underset{\forall s \in N(t), \forall e \in E(s, t)}{\mathsf{Aggregate}} \left( \mathsf{Extract} \left( H^{l-1}[s]; H^{l-1}[t], e \right) \right)$ 

- RGCN [ESWC'2018]: Parameterized by edge types
- HetGNN [WWW'19]: Parameterized by node types
- HAN [KDD'19]: Parameterized by meta-paths

#### Attention scheme

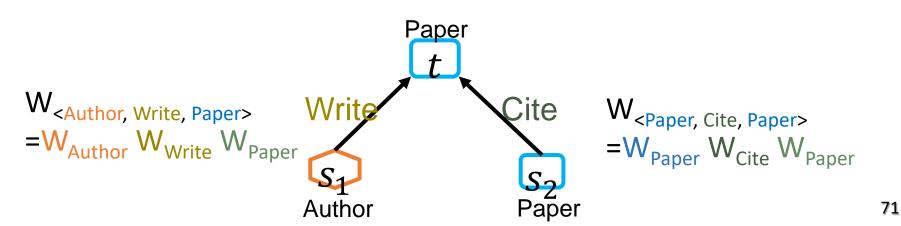
 $H^{l}[t] \leftarrow \underset{\forall s \in N(t), \forall e \in E(s, t)}{\mathsf{Aggregate}} \left( \mathsf{Attention}(s, t) \cdot \underset{\mathsf{Message}(s)}{\mathsf{Message}(s)} \right)$ 

Messages are of different types!

• HAN [KDD'19]: Attention weights parameterized by meta-paths

#### Our Solution: Heterogeneous Graph Transformer (HGT), Hu et al., WWW'20

- Parameterization by Meta-Relation
  - Meta-Relation: <source\_type, edge\_type, targe\_type>
    - E.g., <author, first\_author\_of, paper>, <author, second\_author\_of, paper>
  - Parameter Sharing
    - Capture the correlation between different meta-relations
    - More efficient in terms of parameter space



#### Message, Attention, and Aggregation of HGT

#### Heterogeneous message for an edge <s, e, t>

$$Message_{HGT}(s, e, t) = \left\| MSG\text{-}head^{i}(s, e, t) \right\|_{i \in [1, h]} MSG\text{-}head^{i}(s, e, t) = M\text{-}Linear_{\tau(s)}^{i} \left(H^{(l-1)}[s]\right) W_{\phi(e)}^{MSG}$$

#### Heterogeneous mutual attention on edge <s, e, t>

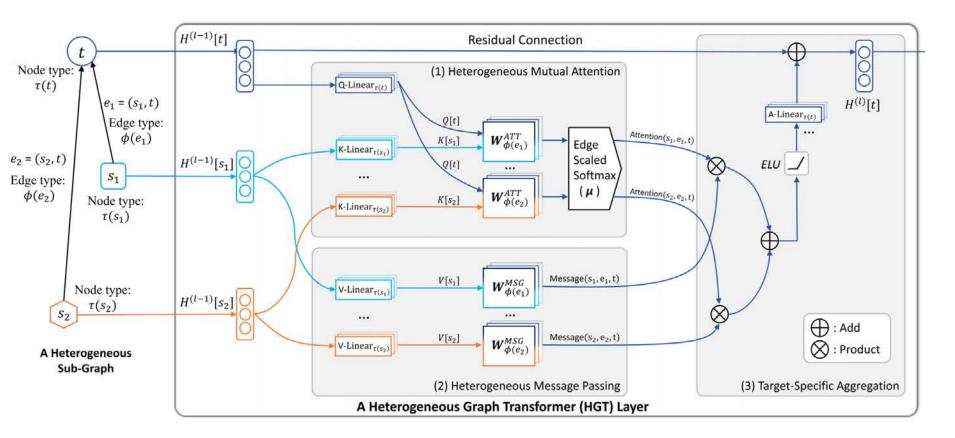
$$\begin{aligned} \text{Attention}_{HGT}(s, e, t) &= \operatorname{Softmax} \left( \begin{array}{c} || & ATT-head^{i}(s, e, t) \right) \quad (3) \\ &i \in [1, h] \end{aligned} \right. \\ ATT-head^{i}(s, e, t) &= \left( K^{i}(s) \ W_{\phi(e)}^{ATT} \ Q^{i}(t)^{T} \right) \cdot \underbrace{ \begin{array}{c} \mu_{\langle \tau(s), \phi(e), \tau(t) \rangle} \\ &\sqrt{d} \end{array} \right. \\ &K^{i}(s) &= \operatorname{K-Linear}_{\tau(s)}^{i} \left( H^{(l-1)}[s] \right) \end{aligned} \\ Significant score for each \\ &\operatorname{meta-relation} \\ Q^{i}(t) &= \operatorname{Q-Linear}_{\tau(t)}^{i} \left( H^{(l-1)}[t] \right) \end{aligned}$$

#### Task-specific aggregation

$$\widetilde{H}^{(l)}[t] = \bigoplus_{\forall s \in N(t)} \left( \text{Attention}_{HGT}(s, e, t) \cdot \text{Message}_{HGT}(s, e, t) \right)$$
$$H^{(l)}[t] = \text{A-Linear}_{\tau(t)} \left( \sigma \left( \widetilde{H}^{(l)}[t] \right) \right) + H^{(l-1)}[t].$$

### **Architecture of HGT**

#### Putting together



#### Leaderboard #1 on Open Graph Benchmark

#### Leaderboard for ogbn-mag

The classification accuracy on the test set. The higher, the better.

#### Package: >=1.2.1

Method	Accuracy	Contact	References #Params		Hardware	Date
HGT (LADIES Sample)	0.5007 ± 0.0043	Ziniu Hu	Paper, Code	21,173,389	Tesla K80 (12GB GPU)	Jul 7, 2020
GraphSAINT (R-GCN aggr)	0.4751 ± 0.0022	Matthias Fey – OGB team	Paper, Code	154,366,772	GeForce RTX 2080 (11GB GPU)	Jun 26, 2020
NeighborSampling (R-GCN aggr)	0.4678 ± 0.0067	Matthias Fey – OGB team	Paper, Code	154,366,772	GeForce RTX 2080 (11GB GPU)	Jun 26, 2020
Full-batch R-GCN	0.3977 ± 0.0046	Matthias Fey – OGB team	Paper, Code	154,366,772	Quadro RTX 8000 (48GB GPU)	Jun 26, 2020
ClusterGCN (R-GCN aggr)	0.3732 ± 0.0037	Matthias Fey – OGB team	Paper, Code	154,366,772	GeForce RTX 2080 (11GB GPU)	Jun 26, 2020
	HGT (LADIES Sample) GraphSAINT (R-GCN aggr) NeighborSampling (R-GCN aggr) Full-batch R-GCN	HGT (LADIES Sample)         0.5007 ± 0.0043           GraphSAINT (R-GCN aggr)         0.4751 ± 0.0022           NeighborSampling (R-GCN aggr)         0.4678 ± 0.0067           Full-batch R-GCN         0.3977 ± 0.0046	HGT (LADIES Sample)0.5007 ± 0.0043Ziniu HuGraphSAINT (R-GCN aggr)0.4751 ± 0.0022Matthias Fey - OGB teamNeighborSampling (R-GCN aggr)0.4678 ± 0.0067Matthias Fey - OGB teamFull-batch R-GCN0.3977 ± 0.0046Matthias Fey - OGB team	HGT (LADIES Sample)0.5007 ± 0.0043Ziniu HuPaper, CodeGraphSAINT (R-GCN aggr)0.4751 ± 0.0022Matthias Fey - OGB teamPaper, CodeNeighborSampling (R-GCN aggr)0.4678 ± 0.0067Matthias Fey - OGB teamPaper, CodeFull-batch R-GCN0.3977 ± 0.0046Matthias Fey - OGB teamPaper, Code	HGT (LADIES Sample)         0.5007 ± 0.0043         Ziniu Hu         Paper, Code         21,173,389           GraphSAINT (R-GCN aggr)         0.4751 ± 0.0022         Matthias Fey – OGB team         Paper, Code         154,366,772           NeighborSampling (R-GCN aggr)         0.4678 ± 0.0067         Matthias Fey – OGB team         Paper, Code         154,366,772           Full-batch R-GCN         0.3977 ± 0.0046         Matthias Fey – OGB team         Paper, Code         154,366,772	HGT (LADIES Sample)         0.5007 ± 0.0043         Ziniu Hu         Paper, Code         21,173,389         Tesla K80 (12GB GPU)           GraphSAINT (R-GCN aggr)         0.4751 ± 0.0022         Matthias Fey - OGB team         Paper, Code         154,366,772         GeForce RTX 2080 (11GB GPU)           NeighborSampling (R-GCN aggr)         0.4678 ± 0.0067         Matthias Fey - OGB team         Paper, Code         154,366,772         GeForce RTX 2080 (11GB GPU)           Full-batch R-GCN         0.3977 ± 0.0046         Matthias Fey - OGB team         Paper, Code         154,366,772         Quadro RTX 8000 (48GB GPU)

#### Leaderboard for ogbn-products

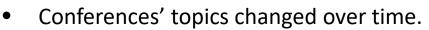
The classification accuracy on the test set. The higher, the better.

Package: >=1.1.1

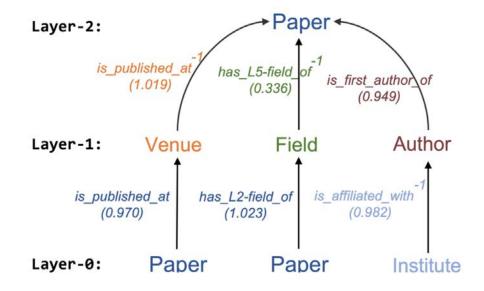
Rank	Method	Accuracy	Contact	References	#Params	Hardware	Date
1	HGT (LADIES Sample)	0.8560 ± 0.0040	Ziniu Hu	Paper, Code	2,025,573	Tesla K80 (12GB GPU)	Jul 8, 2020
2	DeeperGCN	0.8098 ± 0.0020	Guohao Li - DeepGCNs.org	Paper, Code	253,743	NVIDIA Tesla V100 (32GB GPU)	Jun 28, 2020
3	GAT with NeighborSampling	0.7945 ± 0.0059	Matthias Fey	Paper, Code	1,751,574	GeForce RTX 2080 (11GB GPU)	May 24, 2020
4	GraphSAINT (SAGE aggr)	0.7908 ± 0.0024	Matthias Fey – OGB team	Paper, Code	206,895	GeForce RTX 2080 (11GB GPU)	Jun 10, 2020
5	ClusterGCN (SAGE aggr)	0.7897 ± 0.0033	Matthias Fey – OGB team	Paper, Code	206,895	GeForce RTX 2080 (11GB GPU)	Jun 10, 2020
б	NeighborSampling (SAGE aggr)	0.7870 ± 0.0036	Matthias Fey – OGB team	Paper, Code	206,895	GeForce RTX 2080 (11GB GPU)	Jun 10, 2020
7	Full-batch GraphSAGE	0.7850 ± 0.0014	Matthias Fey – OGB team	Paper, Code	206,895	Quadro RTX 8000 (48GB GPU)	Jun 20, 2020
8	GraphSAGE	0.7829 ± 0.0016	Quan Gan (DGL Team)	Paper, Code	Please tell us	Please tell us	May 12, 2020

### **Case Studies**

Venue	Time	Top–5 Most Similar Venues			
	2000	SIGMOD, VLDB, NSDI, GLOBECOM, SIGIR			
WWW	2010	GLOBECOM, KDD, CIKM, SIGIR, SIGMOD			
	2020	KDD, GLOBECOM, SIGIR, WSDM, SIGMOD			
KDD	2000	SIGMOD, ICDE, ICDM, CIKM, VLDB			
	2010	ICDE, WWW, NeurIPS, SIGMOD, ICML			
	2020	NeurIPS, SIGMOD, WWW, AAAI, EMNLP			
NeurIPS	2000	ICCV, ICML, ECCV, AAAI, CVPR			
	2010	ICML, CVPR, ACL, KDD, AAAI			
	2020	ICML, CVPR, ICLR, ICCV, ACL			



• The relative temporal encoding can help capture this temporal evolution.



- HGT can implicitly extract meta paths for specific downstream tasks, without manual customization.
  - Read from  $\mu_{\langle \tau(s), \phi(e), \tau(t) \rangle}$

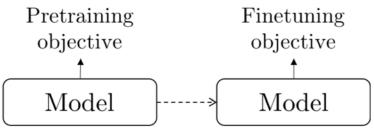
# **Pre-Training of Graph Neural Networks**

#### Challenges on training GNNs

• Requires abundant task-specific labeled data

### •What is pre-training?

- Train GNNs with self-supervision and then transfer learned model to downstream tasks with only a few labels
- Popular in NLP: e.g., BERT



# **Key to the Success of Pre-Training**

#### Self-supervised Tasks

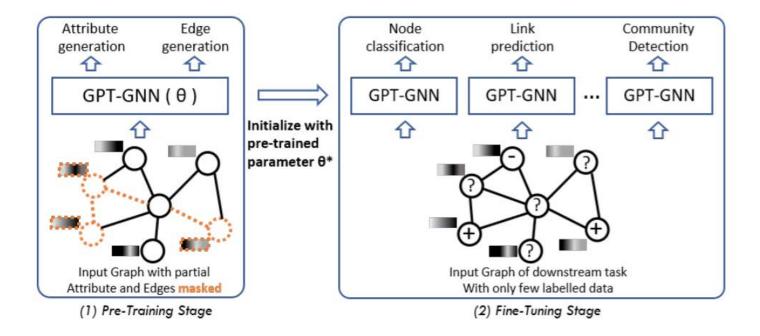
- No additional labels are needed
- General enough to different downstream tasks

### Existing self-supervised tasks for graphs

- Link prediction [GAE, GraphSAGE (NIPS'17)]
- Maximize mutual information between a patch and its super graph [DGI (ICLR'19)]

# Our Solution: GPT-GNN (Hu et al., KDD'20)

- Pre-train GNNs via the generative task to generate both node attributes and edges
  - Goal: find GNN parameters  $\theta^* = \max_{\theta} p(G; \theta)$



# Model $p(G; \theta)$

#### Average over different node order permutation π

 $p(G; \theta) = \mathbb{E}_{\pi} \left[ p_{\theta}(X^{\pi}, E^{\pi}) \right]$  X: node attributes; E: edge list for all nodes

Factorize the joint probability autoregressively given π

 $\log p_{\theta}(X^{\pi}, E^{\pi}) = \sum_{i=1}^{n} \log p_{\theta}(X_i^{\pi}, E_i^{\pi} \mid X_{<i}^{\pi}, E_{<i}^{\pi}). X_i: \text{ attribute for node } i; E_i: \text{ edge list for node } i$ 

Factorize the conditional probability p(current|past)

$$p_{\theta}(X_{i}^{\pi}, E_{i}^{\pi} \mid X_{

$$= \mathbb{E}_{o} \left[ p_{\theta}(X_{i}^{\pi}, E_{i,\neg o}^{\pi} \mid E_{i,o}^{\pi}, X_{

$$= \mathbb{E}_{o} \left[ \underbrace{p_{\theta}(X_{i}^{\pi} \mid E_{i,o}^{\pi}, X_{$$$$$$

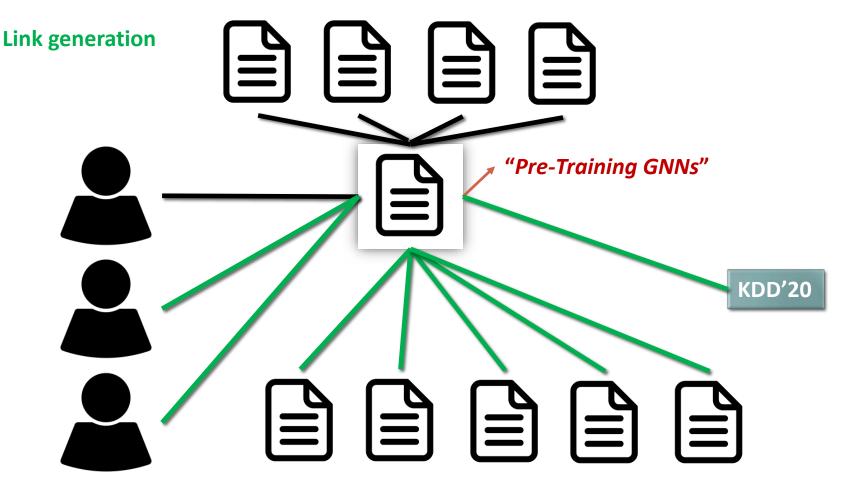
1) generate attributes

2) generate edges

### **Illustration of the Factorization**

#### **Observed links**

**Attribute generation** 



#### Results

#### Data 1: Open Academic Graph

Pre-Train	Fine-Tune		Downstream Dataset	OAG			
No Transfer: CS Academic Gra	aph CS Academic Graph		Evaluation Task	Paper-Field	Paper-Venue	Author ND	
Field Transfer: Med, Bio, Physic	cS Academic Graph		No Pre-train	.336±.149	$.365 \pm .122$	.794±.105	
Time Transfer: CS before 2014		Field Transfer	GAE GraphSAGE (unsp.) Graph Infomax	.403±.114 .368±.125 .387±.112	.418±.093 .401±.096 .404±.097	.816±.084 .803±.092 .810±.084	
Time + Field Transfer: Med, Bio, Physic before 2014	Med, Bio, Physics before 2014 CS after 2014		GPT-GNN (Attr) GPT-GNN (Edge) GPT-GNN	.396±.118 .401±.109 <b>.407±.107</b>	.423±.105 .428±.096 .432±.098	.818±.086 .826±.093 .831±.102	
<ul> <li>All pre-training frameworks help the performance of GNNs <ul> <li>GAE, GraphSage, Graph Infomax</li> <li>GPT-GNN</li> </ul> </li> <li>GPT-GNN helps the most by achieving a relative performance gain of 9.1% over the base model without pre-training</li> </ul>		Transfer Time Transfer	GAE GraphSAGE (unsp.) Graph Infomax	.384±.117 .352±.121 .369±.116	.412±.101 .394±.105 .398±.102	.812±.095 .799±.093 .805±.089	
			GPT-GNN (Attr) GPT-GNN (Edge) GPT-GNN	.382±.114 .392±.105 .400±.108	.414±.098 .421±.102 .429±.101	.811±.089 .821±.088 .825±.093	
			GAE GraphSAGE (unsp.) Graph Infomax	.371±.124 .349±.130 .360±.121	.403±.108 .393±.118 .391±.102	.806±.102 .797±.097 .800±.093	
<ul> <li>Both self-supervise help the pre-training         <ul> <li>Attribute generation</li> <li>Edge generation</li> </ul> </li> </ul>	framework ation	Time + Field	GPT-GNN (Attr) — (w/o node separation) GPT-GNN (Edge) — (w/o adaptive queue) GPT-GNN	$.364 \pm .115$ $.347 \pm .128$ $.386 \pm .116$ $.376 \pm .121$ $.393 \pm .112$	.409±.103 .391±.102 .414±.104 .410±.115 .420±.108	.809±.094 .791±.108 .815±.105 .808±.104 .818±.102	



#### Introduction

#### Graph Neural Networks

# Graph Neural Networks for Heterogeneous Graphs



### **Open Questions**

#### •Why GNNs work?

- Is the nonlinear transformation necessary?
- Chen et al., Are Powerful Graph Neural Nets Necessary? A Dissection on Graph Classification, arXiv:1905.04579
- A concatenate feature vector from graph propagation, followed by a MLP works equally well, and much faster!

$$X^{G} = \gamma(G, X) = \left[ \boldsymbol{d}, X, \tilde{A}^{1}X, \tilde{A}^{2}X, \cdots, \tilde{A}^{K}X \right],$$

# **Q & A**

#### Thanks to my collaborators:

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