

# 端到端声纹识别

End-to-end speaker recognition

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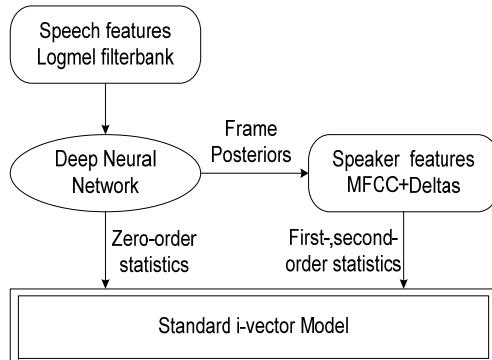
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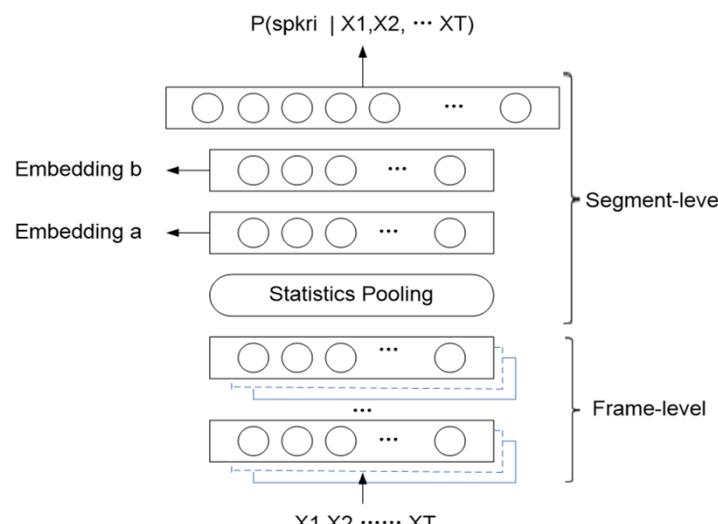
# 一、研究背景及问题

## 基于深度学习的声纹识别的三个分支

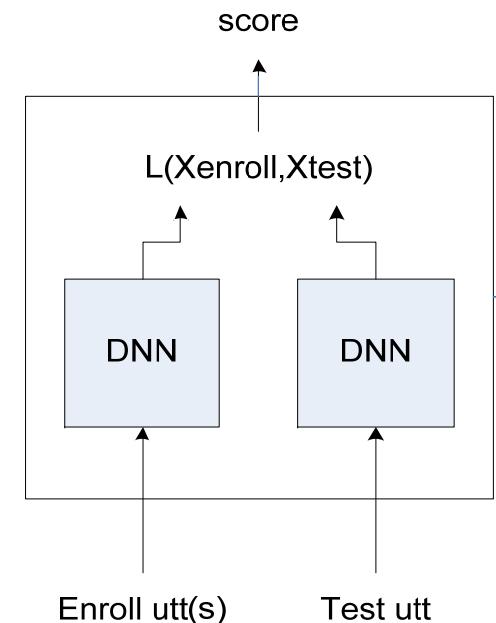
DNN/i-vector



Embedding

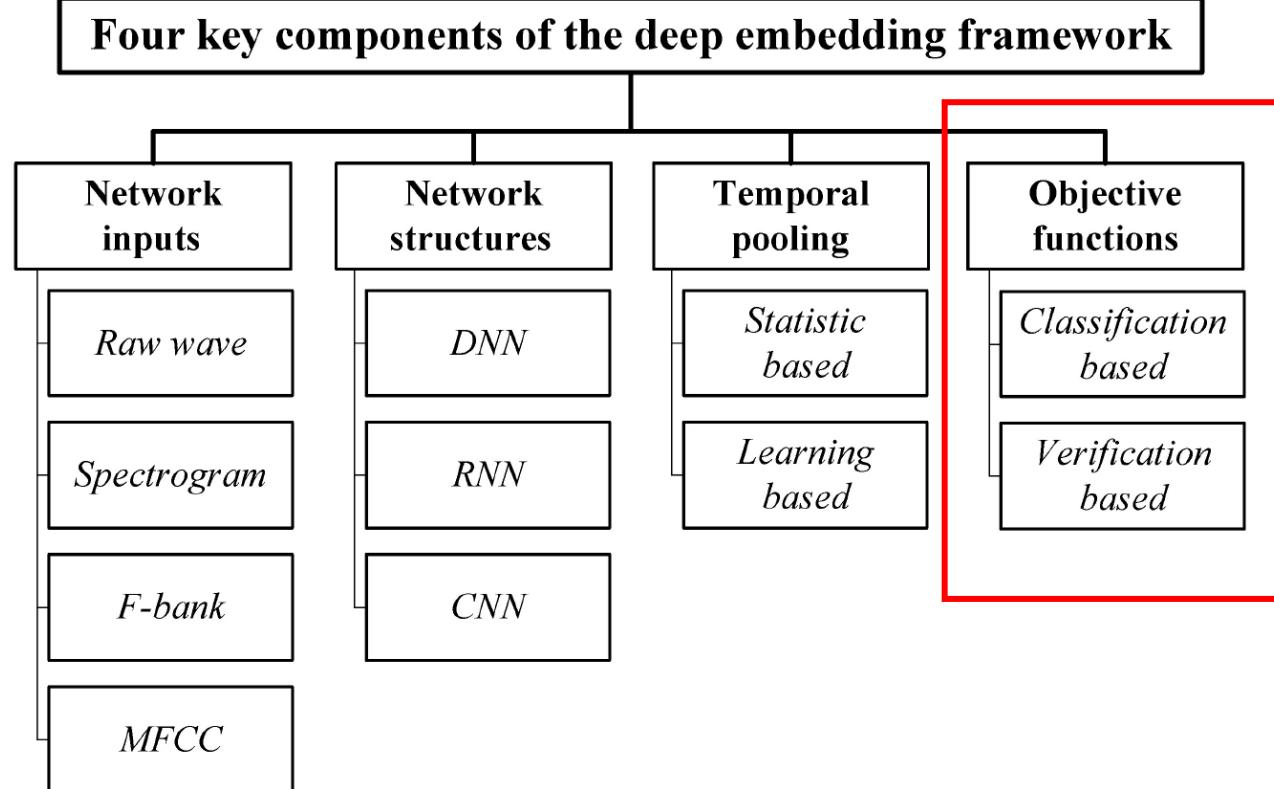


End-to-End



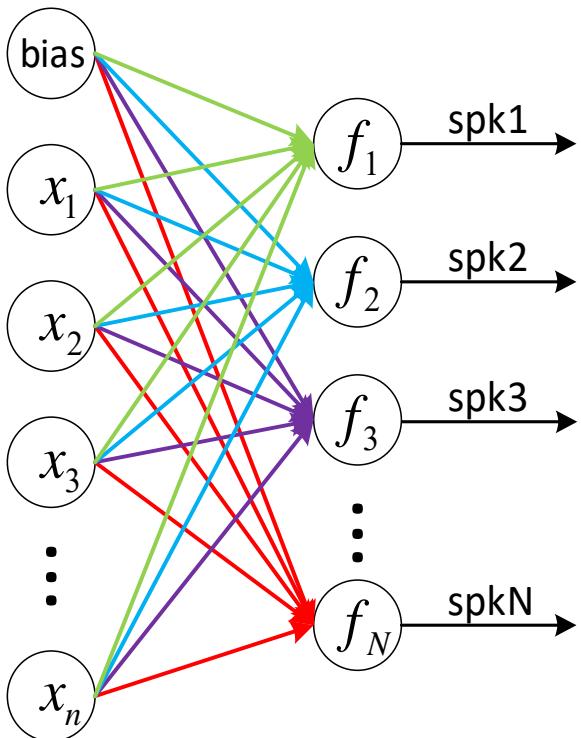
# 一、研究背景及问题

## 声纹识别的研究重点



## 二、非端到端分类损失

### 分类损失1：Softmax with cross-entropy loss



- 1) 将开集问题当闭集问题处理
- 2) 只最大化类间距离，没有最小化类内方差

$$L = \frac{1}{N} \sum_i L_i = \frac{1}{N} \sum_i -\log \left( \frac{e^{f_{y_i}}}{\sum_j e^{f_j}} \right)$$

$$f_{y_i} = \mathbf{W}_{y_i}^T \mathbf{x}_i + b_{y_i} \quad f_j = \mathbf{W}_j^T \mathbf{x}_i + b_j$$

$$\mathbf{W}_j^T \mathbf{x}_i = \|\mathbf{W}_j\| \|\mathbf{x}_i\| \cos(\theta_{j,i})$$

$$\begin{aligned} L_i &= -\log \left( \frac{e^{\mathbf{W}_{y_i}^T \mathbf{x}_i + b_{y_i}}}{\sum_j e^{\mathbf{W}_j^T \mathbf{x}_i + b_j}} \right) \\ &= -\log \left( \frac{e^{\|\mathbf{W}_{y_i}\| \|\mathbf{x}_i\| \cos(\theta_{y_i,i}) + b_{y_i}}}{\sum_j e^{\|\mathbf{W}_j\| \|\mathbf{x}_i\| \cos(\theta_{j,i}) + b_j}} \right) \end{aligned}$$

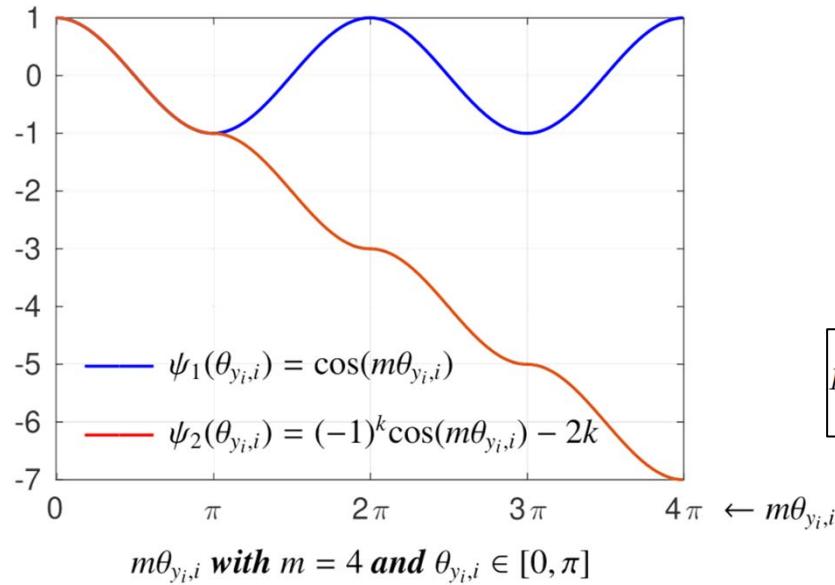
$$\|\mathbf{W}_j\| = 1$$

$$L_{\text{modified}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \cos(\theta_{y_i,i})}}{\sum_j e^{\|\mathbf{x}_i\| \cos(\theta_{j,i})}} \right)$$

参考文献

## 二、非端到端分类损失

### 分类损失2：Angular softmax



$$L_{\text{modified}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \cos(\theta_{y_i,i})}}{\sum_j e^{\|\mathbf{x}_i\| \cos(\theta_{j,i})}} \right)$$



$$L_{\text{ang}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \cos(m\theta_{y_i,i})}}{e^{\|\mathbf{x}_i\| \cos(m\theta_{y_i,i})} + \sum_{j \neq y_i} e^{\|\mathbf{x}_i\| \cos(\theta_{j,i})}} \right)$$



$\psi(\theta_{y_i,i}) = (-1)^k \cos(m\theta_{y_i,i}) - 2k$ .  
 $\theta_{y_i,i} \in [\frac{k\pi}{m}, \frac{(k+1)\pi}{m}]$  and  $k \in [0, m - 1]$

$$L_{\text{ang}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \psi(\theta_{y_i,i})}}{e^{\|\mathbf{x}_i\| \psi(\theta_{y_i,i})} + \sum_{j \neq y_i} e^{\|\mathbf{x}_i\| \cos(\theta_{j,i})}} \right)$$

优点：

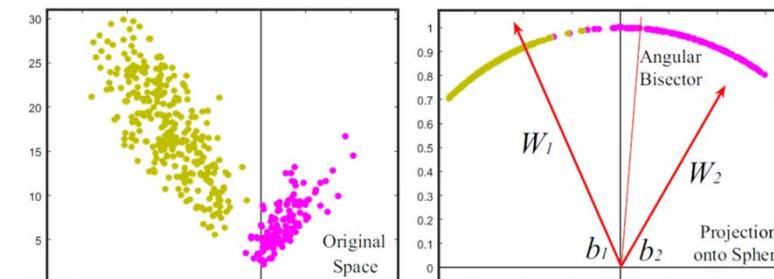
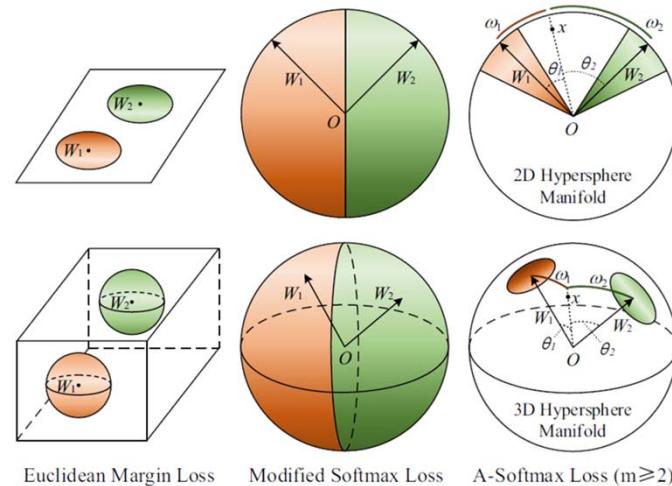
- 1) 最小化类内方差 (通过增加类间的角度margin)
- 2) 与cosine similarity scoring匹配

参考文献

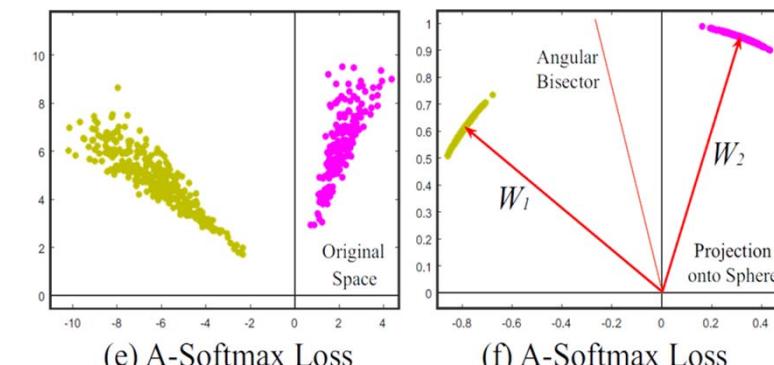
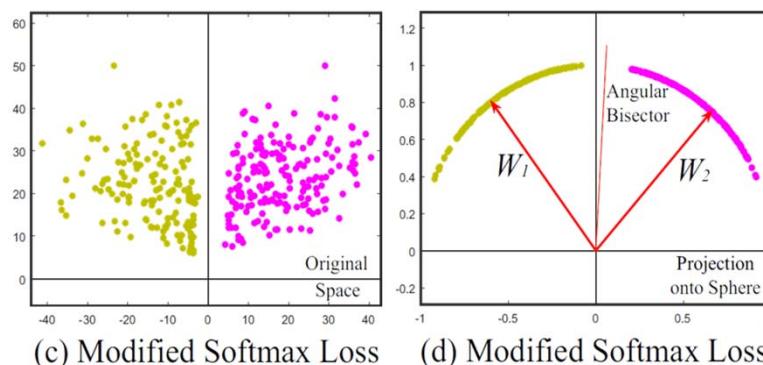
Huang et al., Angular softmax for short-duration text-independent speaker verification. in Interspeech, 2018  
Cai et al., Exploring the encoding layer and loss function. in end-to-end speaker and language recognition system, in: Proc. Odyssey, 2018

## 二、非端到端分类损失

### 分类损失2：Angular softmax



$$L = \frac{1}{N} \sum_i L_i = \frac{1}{N} \sum_i -\log \left( \frac{e^{f_{y_i}}}{\sum_j e^{f_j}} \right) \quad f_j = \mathbf{W}_j^T \mathbf{x}_i + b_j$$

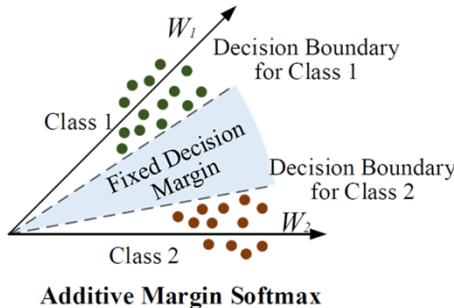
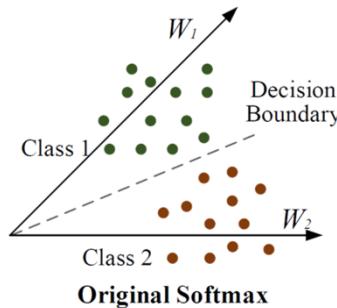


$$L_{\text{modified}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \cos(\theta_{y_i, i})}}{\sum_j e^{\|\mathbf{x}_i\| \cos(\theta_{j, i})}} \right) \quad \|\mathbf{W}_j\|=1$$

$$L_{\text{Lang}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \psi(\theta_{y_i, i})}}{e^{\|\mathbf{x}_i\| \psi(\theta_{y_i, i})} + \sum_{j \neq y_i} e^{\|\mathbf{x}_i\| \cos(\theta_{j, i})}} \right)$$

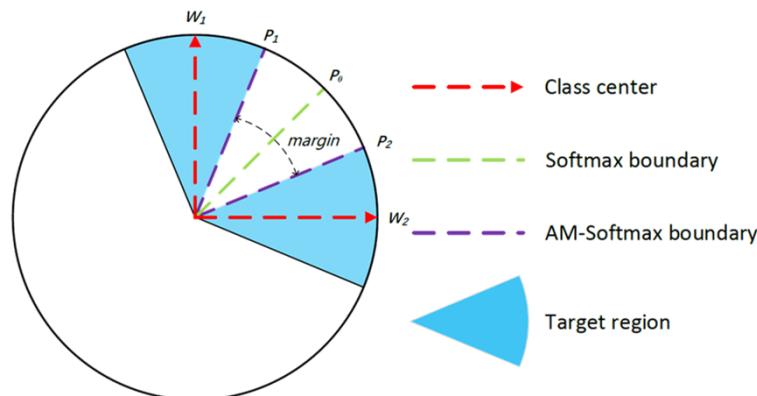
## 二、非端到端分类损失

分类损失3： Additive margin softmax (AMS), Additive angular margin softmax(AAMS)



$$L_{\text{ang}} = \frac{1}{N} \sum_i -\log \left( \frac{e^{\|\mathbf{x}_i\| \psi(\theta_{y_i,i})}}{e^{\|\mathbf{x}_i\| \psi(\theta_{y_i,i})} + \sum_{j \neq y_i} e^{\|\mathbf{x}_i\| \cos(\theta_{j,i})}} \right)$$

$\|\mathbf{x}_i\| = 1$   
 $\psi(\theta_{y_i,i}) \rightarrow \begin{cases} \cos(\theta_{y_i,i}) - m \\ \cos(\theta_{y_i,i} + m) \end{cases}$



$$\mathcal{L}_{\text{AMS}} = -\frac{1}{N} \sum_{n=1}^N \log \frac{e^{s(\cos(\theta_{y_i,i})-m)}}{e^{s(\cos(\theta_{y_i,i})-m)} + \sum_{j \neq y_i} e^{s(\cos(\theta_{j,i}))}}$$

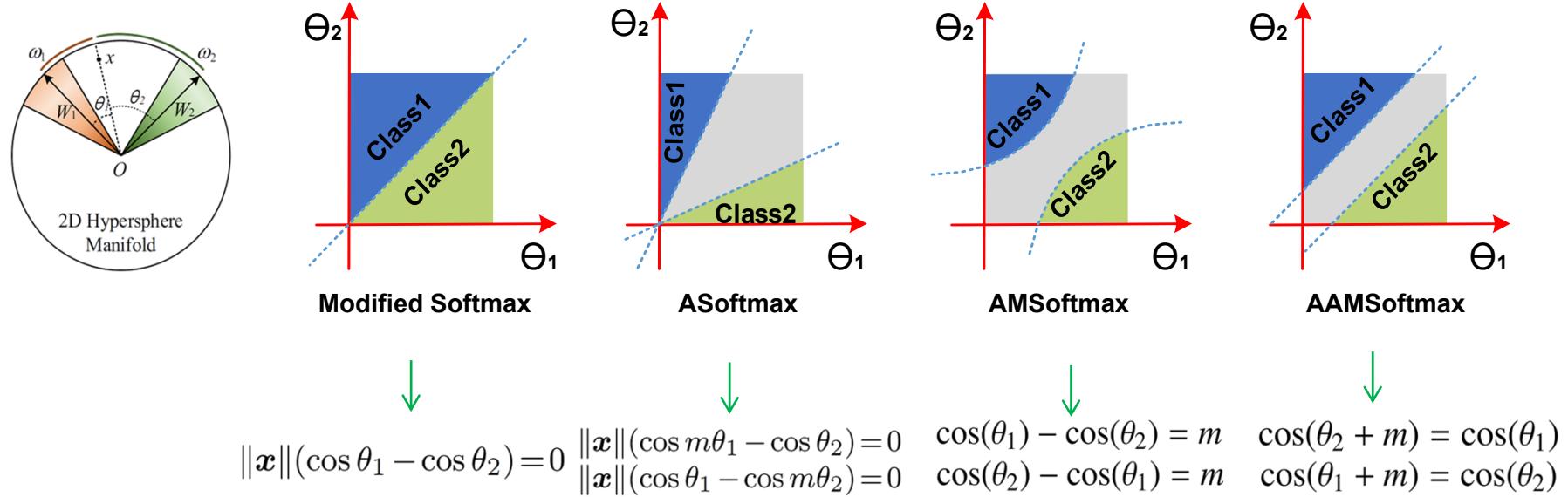
$$\mathcal{L}_{\text{AAMS}} = -\frac{1}{N} \sum_{n=1}^N \log \frac{e^{s(\cos(\theta_{y_i,i}+m))}}{e^{s(\cos(\theta_{y_i,i}+m))} + \sum_{j \neq y_i} e^{s(\cos(\theta_{j,i}))}}$$

参考文献

Xie et al., Utterance-level aggregation for speaker recognition in the wild, ICASSP 2019.  
 Liu et al., Large margin softmax loss for speaker verification, Interspeech 2019.

## 二、非端到端分类损失

分类损失总结：物理含义



## 二、非端到端分类损失

### 分类损失的正则项方法

正则项框架：

$$\mathcal{L} = \mathcal{L}_S + \lambda \mathcal{L}_{\text{Regular}}$$

类中心正则项 (Class-center loss) :

$$\mathcal{L}_C = \frac{1}{2} \sum_{n=1}^N \|\mathbf{x}_n - \mathbf{c}_{l_n}\|^2$$

环损失正则项 (Ring loss) :

$$\mathcal{L} = \mathcal{L}_{\text{AMS}} + \lambda \times \frac{1}{N} \sum_{n=1}^N (\|\mathbf{x}_n\|_2 - R)^2$$

Gaussian prior:

$$\mathcal{L} = \mathcal{L}_S + \lambda \sum_j \sum_{\mathbf{e}_n \in \mathcal{E}(j)} \|\mathbf{e}_n - \mathbf{w}_j\|$$

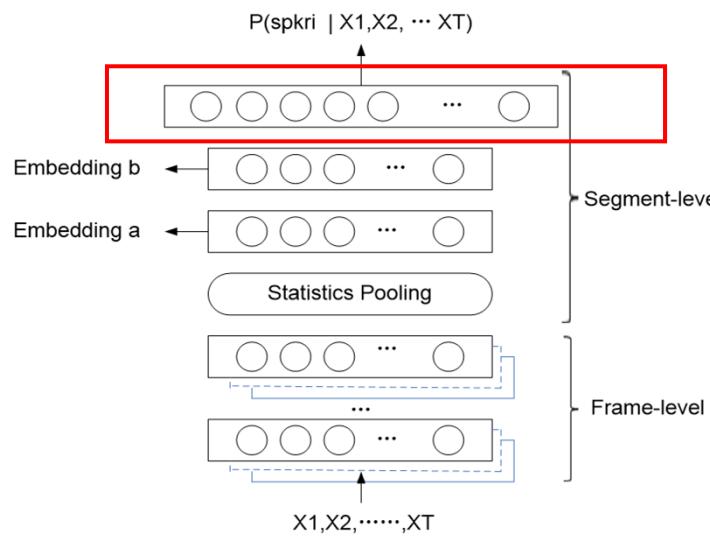
### 参考文献

- Cai et al., Exploring the encoding layer and loss function in end-to-end speaker and language recognition system, Odyssy 2019,  
Liu, et al., Large margin softmax loss for speaker verification, Proc. Interspeech 2019  
Li et al., Gaussian-constrained training for speaker verification, in: ICASSP 2019

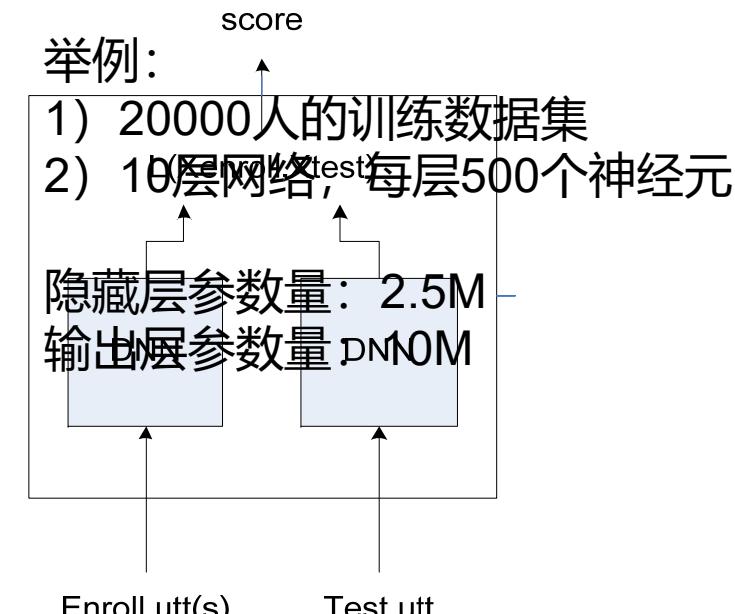
## 二、非端到端分类损失

优点	缺点
<ul style="list-style-type: none"><li>• 有效</li><li>• 模型训练稳定</li></ul>	<ul style="list-style-type: none"><li>• 标签需要精确到每句话对应的说话人身份</li><li>• 优化替代损失—softmax，可能并非最优</li><li>• 输出层随说话人数量增加而变大</li></ul>

训练阶段



测试阶段



### 三、端到端确认损失



### 三、端到端确认损失

#### 确认损失1：Pairwise loss

##### Binary cross-entropy loss

$$\mathcal{L}_{\text{BCE}} = - \sum_{n=1}^N \left[ l_n \ln(p(\mathbf{x}_n^e, \mathbf{x}_n^t)) - \eta(1 - l_n) \ln(1 - p(\mathbf{x}_n^e, \mathbf{x}_n^t)) \right]$$

➤

$$p(\mathbf{x}_n^e, \mathbf{x}_n^t) = \frac{1}{1 + \exp(-S(\mathbf{x}_n^e, \mathbf{x}_n^t))}$$
$$S(\mathbf{x}_n^e, \mathbf{x}_n^t) = (\mathbf{x}_n^e)^T \mathbf{x}_n^t - (\mathbf{x}_n^e)^T \mathbf{S} \mathbf{x}_n^e - (\mathbf{x}_n^t)^T \mathbf{S} \mathbf{x}_n^t + b$$
 PLDA

➤

$$p(\mathbf{x}_n^e, \mathbf{x}_n^t) = \frac{1}{1 + \exp(-wS(\mathbf{x}_n^e, \mathbf{x}_n^t) - b)}$$
$$S(\mathbf{x}_n^e, \mathbf{x}_n^t) = \frac{\mathbf{x}_n^{e^T} \mathbf{x}_n^t}{\|\mathbf{x}_n^e\| \|\mathbf{x}_n^t\|}$$
 Cosine

➤

$$p(\mathbf{x}_n^e, \mathbf{x}_n^t) = \frac{1}{1 + \exp(-s_n^{e,t})}$$
$$s_n^{e,t} = S(\mathbf{x}_n^{e,t})$$
 Attention based



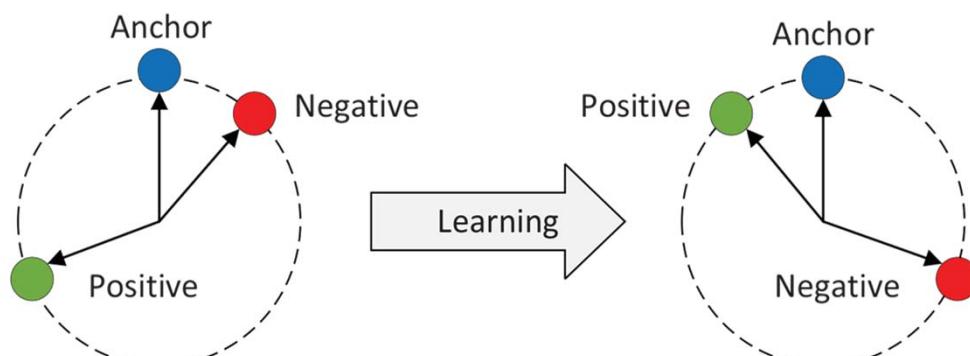
##### Contrastive loss

$$\mathcal{L}_{\text{C}} = \frac{1}{2N} \sum_{n=1}^N \left( l_n \cdot d_n^2 + (1 - l_n) \max(\rho - d_n, 0)^2 \right)$$

margin

### 三、端到端确认损失

#### 确认损失2：Triplet loss



$$\mathcal{X}_{\text{trip}} = \{(\mathbf{x}_n^a, \mathbf{x}_n^p, \mathbf{x}_n^n) | n = 1, 2, \dots, N\}$$

$$s_n^{an} - s_n^{ap} + \zeta \leq 0$$

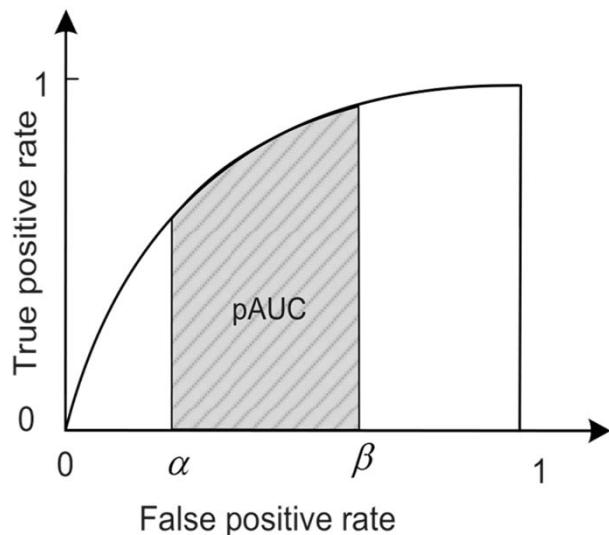
$$\mathcal{L}_{\text{trip}} = \sum_{n=1}^N \max(0, s_n^{an} - s_n^{ap} + \zeta)$$

#### 参考文献

- Li et al., Deep speaker: an end-to-end neural speaker embedding system, arXiv preprint arXiv:1705.02304.
- Zhang et al., Text-independent speaker verification based on triplet convolutional neural network embeddings, IEEE/ACM TASLP 2018

### 三、端到端确认损失

#### 确认损失3：Quadruplet loss



$$\text{pAUC} = 1 - \frac{1}{IK} \sum_{\forall i: s_i \in \mathcal{P}} \sum_{\forall k: s_k \in \mathcal{N}_0} \left[ \mathbb{I}(s_i < s_k) + \frac{1}{2} \mathbb{I}(s_i = s_k) \right]$$

$$\mathcal{P} = \{(s_i, l_i = 1) | i = 1, 2, \dots, I\}$$

$$\mathcal{N}_0 = \{(s_k, l_k = 0) | k = 1, 2, \dots, K\}$$

$$s_n = f(\mathbf{x}_n, \mathbf{y}_n) = \frac{\mathbf{x}_n^T \mathbf{y}_n}{\|\mathbf{x}_n\| \|\mathbf{y}_n\|}$$

$$\ell'_{\text{hinge}}(z) = \max(0, \delta - z)^2$$

$$\min \frac{1}{IK} \sum_{\forall i: s_i \in \mathcal{P}} \sum_{\forall k: s_k \in \mathcal{N}_0} \max(0, \delta - (s_i - s_k))^2$$

参考文献

Bai et al., Partial AUC optimization based deep speaker embeddings with class-center learning for text-independent speaker verification, in: ICASSP 2020

### 三、端到端确认损失

#### 确认损失3: Quadruplet loss 的类中心学习算法

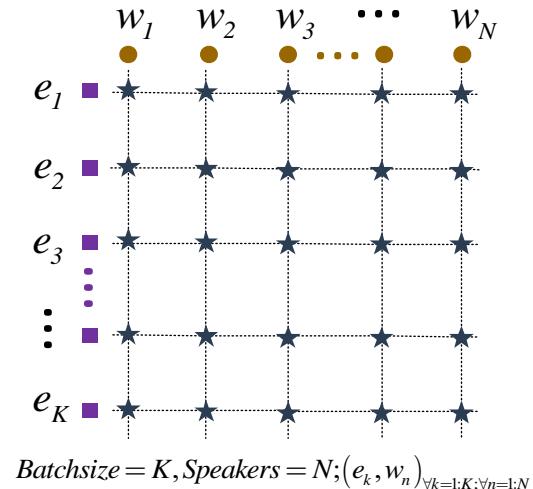
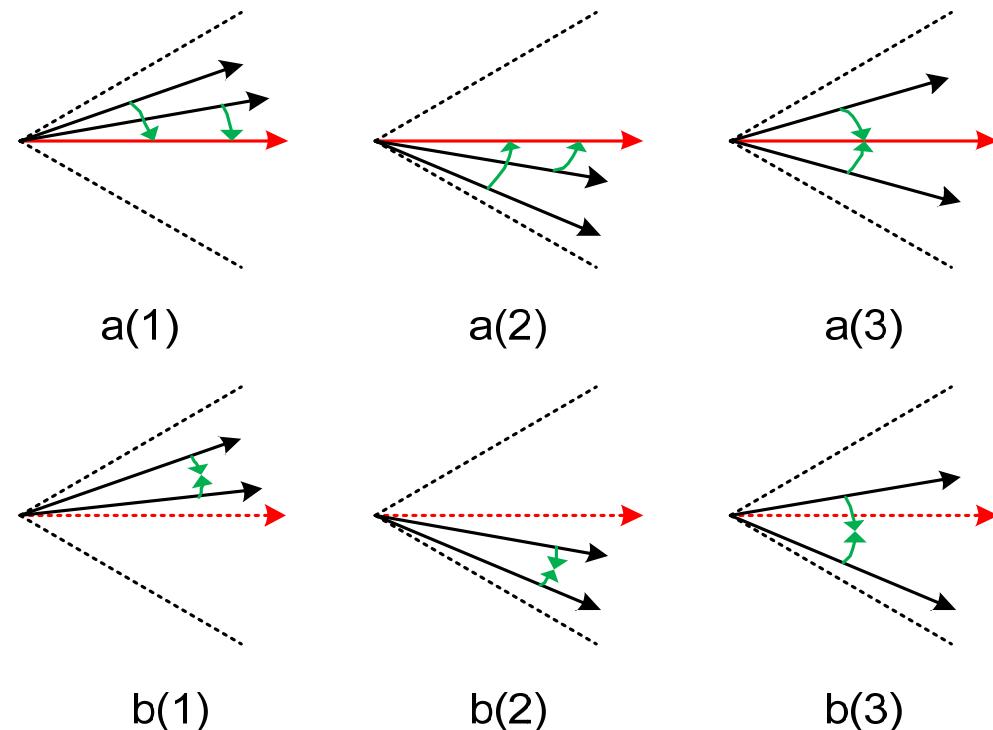


Fig2: a,Class-center learning; b, Random sampling.

参考文献

Bai et al., Partial AUC optimization based deep speaker embeddings with class-center learning for text-independent speaker verification, in: ICASSP 2020

### 三、端到端确认损失

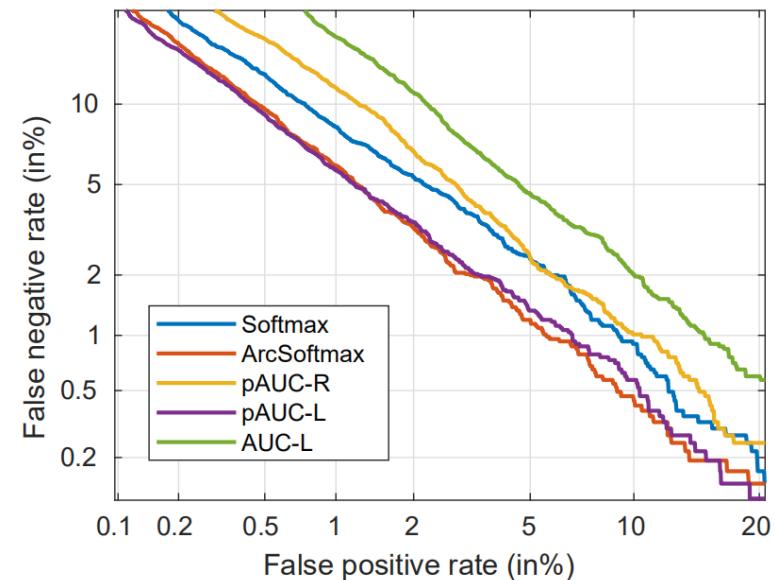
#### 确认损失3：Quadruplet loss 的实验性能

Table 1. Results on SITW.

Name	Loss	EER(%)	DCF10 <sup>-2</sup>	DCF10 <sup>-3</sup>
Dev.Core	Softmax (kaldi)	3.0	-	-
	Softmax	3.04	0.2764	<b>0.4349</b>
	ArcSoftmax	<b>2.16</b>	<b>0.2565</b>	0.4501
	pAUC-R	3.20	0.3412	0.5399
	pAUC-L	<b>2.23</b>	<b>0.2523</b>	<b>0.4320</b>
	AUC-L	4.27	0.4474	0.6653
Eval.Core	Softmax (kaldi)	3.5	-	-
	Softmax	3.45	0.3339	<b>0.4898</b>
	ArcSoftmax	<b>2.54</b>	<b>0.3025</b>	0.5142
	pAUC-R	3.74	0.3880	0.5797
	pAUC-L	<b>2.56</b>	<b>0.2949</b>	0.5011
	AUC-L	4.76	0.5005	0.7155

Table 2. Results on the Cantonese language of NIST SRE 2016.

Back-end	Loss	EER(%)	DCF10 <sup>-2</sup>	DCF10 <sup>-3</sup>
No-adaptation	Softmax (kaldi)	7.52	-	-
	Softmax	6.76	0.5195	0.7096
	ArcSoftmax	<b>5.59</b>	<b>0.4640</b>	<b>0.6660</b>
	pAUC-R	15.25	0.8397	0.9542
	pAUC-L	<b>6.01</b>	<b>0.5026</b>	<b>0.7020</b>
	AUC-L	7.92	0.5990	0.8072
Adaptation	Softmax (kaldi)	4.89	-	-
	Softmax	4.94	0.4029	0.5949
	ArcSoftmax	<b>4.13</b>	<b>0.3564</b>	<b>0.5401</b>
	pAUC-R	8.65	0.6653	0.8715
	pAUC-L	<b>4.25</b>	<b>0.3704</b>	<b>0.5471</b>
	AUC-L	5.36	0.4439	0.6480

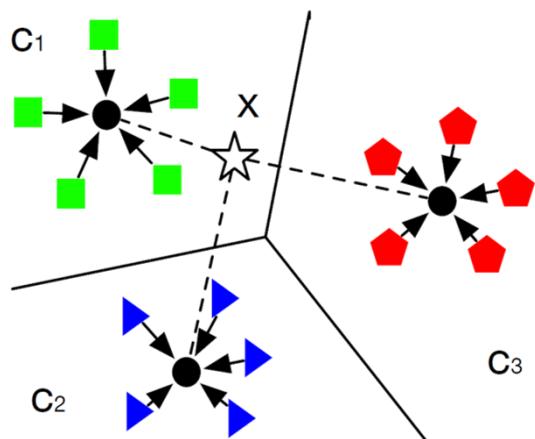


参考文献

Bai et al., Partial AUC optimization based deep speaker embeddings with class-center learning for text-independent speaker verification, in: ICASSP 2020

### 三、端到端确认损失

#### 确认损失4：Prototypical network loss



一个mini-batch

$$\mathcal{S} = \{(\mathbf{x}_n, l_n) | n = 1, 2, \dots, N\}$$

一个Query set

$$Q = \{(\mathbf{x}_q, l_q) | q = 1, 2, \dots, Q\}$$

在所有样本上  
计算类中心

$$\mathbf{c}_j = \frac{1}{|\mathcal{S}_j|} \sum_{(\mathbf{x}_n, l_n) \in \mathcal{S}_j} \mathbf{x}_n, \quad j = 1, 2, \dots, J$$

$$\mathcal{L}_{PNL} = - \sum_{(\mathbf{x}_q, l_q) \in Q} \log \frac{\exp(-d(\mathbf{x}_q, \mathbf{c}_{l_q}))}{\sum_{j'=1}^J \exp(-d(\mathbf{x}_q, \mathbf{c}_{j'}))}$$

参考文献

Chung et al., In defence of metric learning for speaker recognition, in: Interspeech 2020.

### 三、端到端确认损失

#### 确认损失4： Prototypical network loss

Table1: Equal Error Rates (EER, %) on the VoxCeleb1 test set, where CHNM denotes curriculum hard negative mining

Objective	Hyperparameters	VGG-M-40	Thin ResNet-34	Fast ResNet-34
Softmax	——	10.14±0:20	5.82±0.47	6.46±0.06
AM-Softmax	$m = 0.1, s = 30$	4.76±0.10	2.59±0:09	2.41±0.01
AAM-Softmax	<b><math>m = 0.2, s = 30</math></b>	<b>4.64±0.04</b>	<b>2.36±0:04</b>	<b>2.38±0.01</b>
Triplet	<b><math>m = 0.2, \text{CHNM}</math></b>	<b>4.67± 0.06</b>	<b>2.60±0:02</b>	<b>2.71±0.06</b>
GE2E	$M = 3$	4.40±0.08	2.52±0:07	2.37±0.10
Prototypical	<b><math>M = 2</math></b>	<b>4.59±0.02</b>	<b>2.34±0:08</b>	<b>2.32±0.02</b>
Angular Prototypical	$M = 2$	4.29±0.07	2.21±0.03	2.22±0.05

参考文献

Chung et al., In defence of metric learning for speaker recognition, in: Interspeech 2020.

## 四、总结

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### 已有成果总结：

- 非端到端的分类损失需要引入减小类内方差的margin
- 端到端确认损失引入类中心学习可以增加训练稳定性、提高性能

### 可能的发展趋势：

- 新型的端到端确认损失
- 端到端确认损失与非端到端分类损失形成优势互补与融合
- 真正的端到端并不需要独立的back-end scoring

谢谢！

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