## PIC:

# **Parametric Instance Classification** for Unsupervised Visual Pre-training

Yue Cao\*, Zhenda Xie\*, Bin Liu\*, Yutong Lin, Zheng Zhang, Han Hu Microsoft Research Asia Tsinghua University Xi'an Jiaotong University

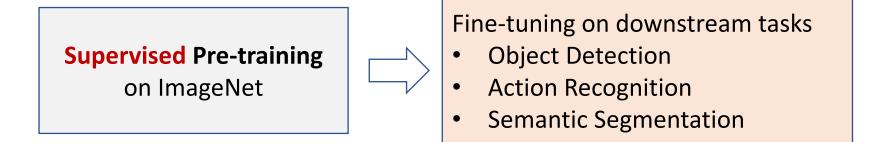
### Pre-training

• NLP

Unsupervised Pre-training on nearly unlimited text corpus (e.g. BERT) Fine-tuning on downstream tasks

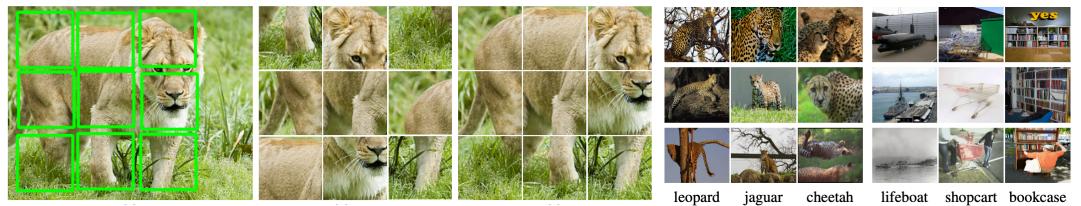
- Text Classification
- Question Answering
- Commonsense Reasoning

• Computer Vision



#### Recent Progress in Unsupervised Visual Learning

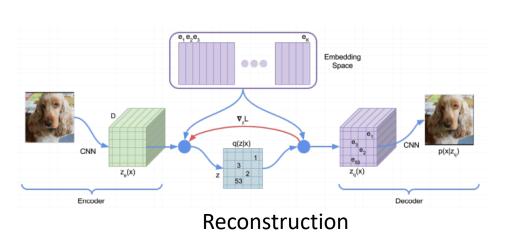
• Different *pretext tasks* in visual representation pretraining



Jigsaw Puzzle



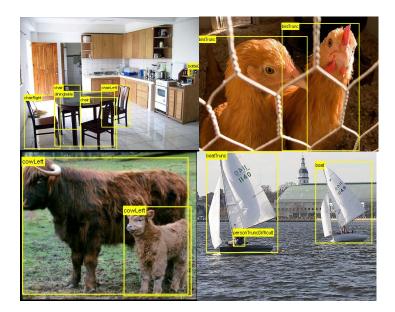
Colorization



Instance Discrimination

#### Recent Progress in Unsupervised Visual Learning

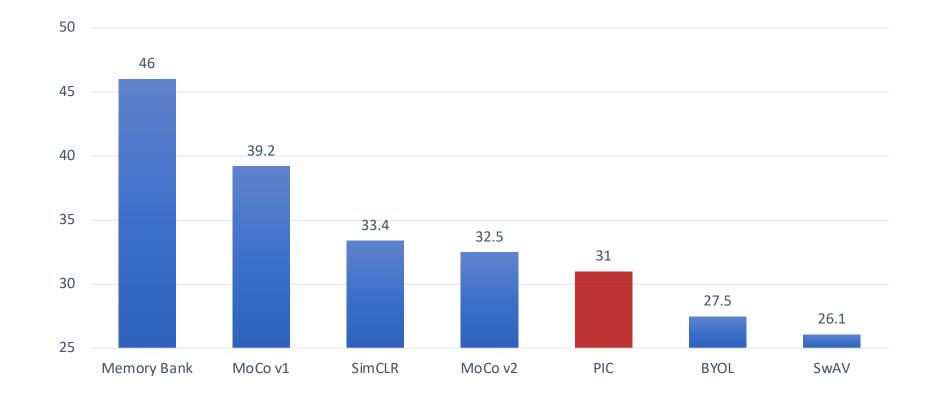
 Fine-tuning using an unsupervised pre-trained model could achieve on par or even better performance than that of supervised counterparts on downstream tasks



**Object Detection on Pascal VOC** 

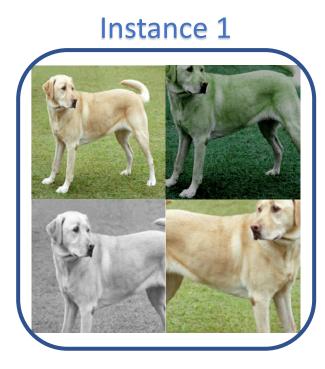
Method	AP50	ΑΡ	AP75
Supervised	81.3	53.5	58.8
MoCo v1	81.5	55.9	62.6
MoCo v2	82.4	57.0	63.6
PIC (ours)	82.4	57.1	63.4

#### Recent Progress in Unsupervised Visual Learning

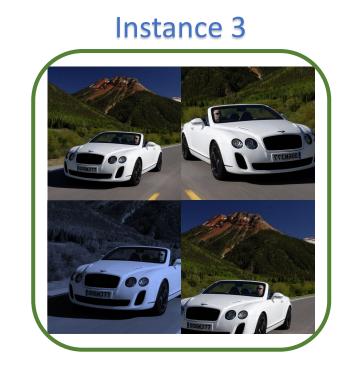


Top-1 error using ResNet-50 with 200-epoch pre-training on ImageNet

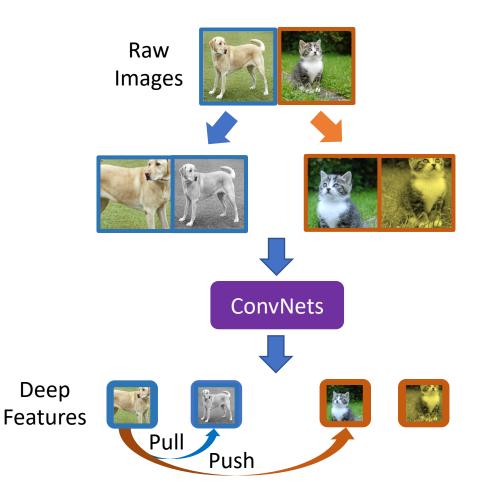
#### Unsupervised Learning via Instance Discrimination







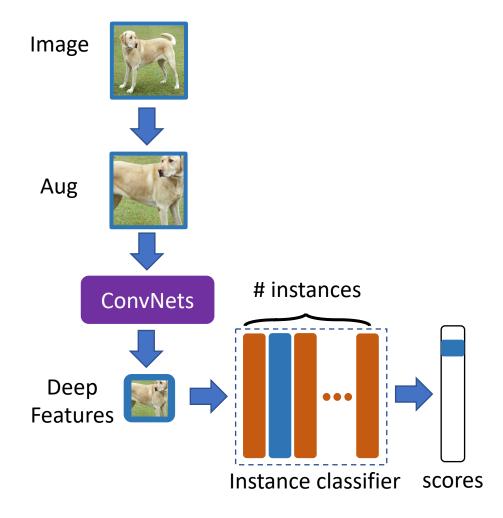
#### Motivation



- Non-Parametric Instance Classification
  - MoCo, He et al, CVPR20, FAIR
  - SimCLR, Hinton et al, ICML20, Google Brain
- Disadvantages
  - Complex
  - Information leakage: the network could find easy solution to distinguish positive and negative examples
    - BatchNorm would communicate between examples in the same iteration, which contains both positive and negative examples

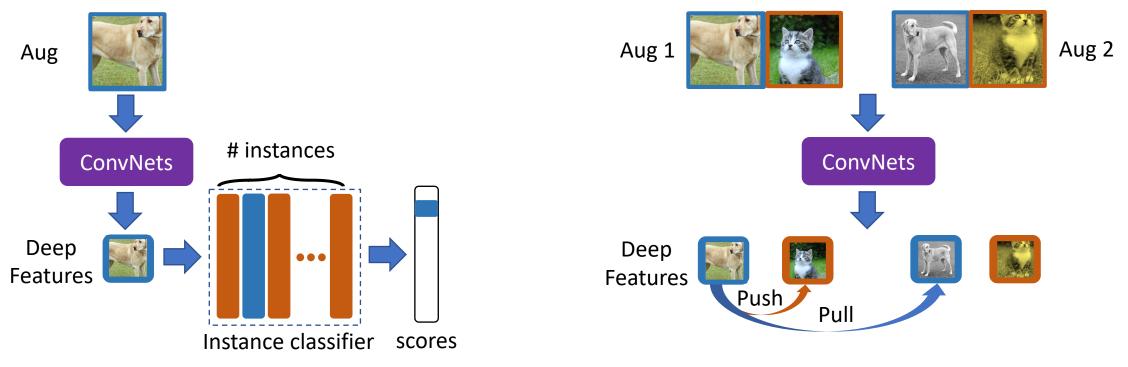
#### Could we make it simple but effective?

#### Parametric Instance Classification



- Simple & easy to implement
- One augmentation per batch
  -> no information leakage
- Good performance?

## Fair Comparison



**One augmentation** per iteration

Two augmentations per iteration

Fair Policy: Number of augmentations observed by the network should be the same.

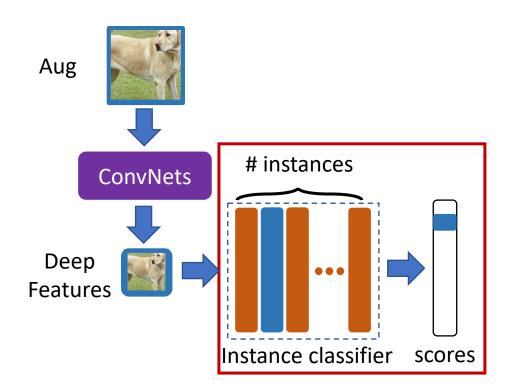
#### Results - Linear Evaluation on ImageNet

Method	#Aug/iter x Epoch	Top-1 Acc	Тор-5 Асс
SimCLR	2 x 100 = 200	64.7	86.0
MoCo v2	2 x 100 = 200	64.1	85.7
PIC (ours)	1 x 200 = 200	<b>66.2</b> +1.5	87.0
SimCLR	2 x 200 = 400	66.6	87.3
MoCo v2	2 x 200 = 400	67.5	88.0
PIC (ours)	1 x 400 = 400	<b>68.5</b> +1.0	88.8

#### Major Concerns

- The weight matrix of classifier layer is too large
  - # instances × dimension, e.g.  $1.28M \times 128$
- The weight matrix of classifier layer is updated too slowly
  - Each instance vector is updated as positive only once per epoch

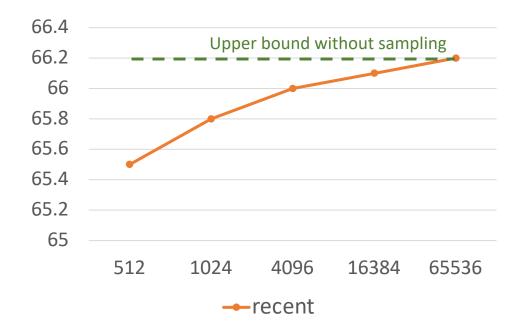
## Weight Matrix of Classifier is too Large



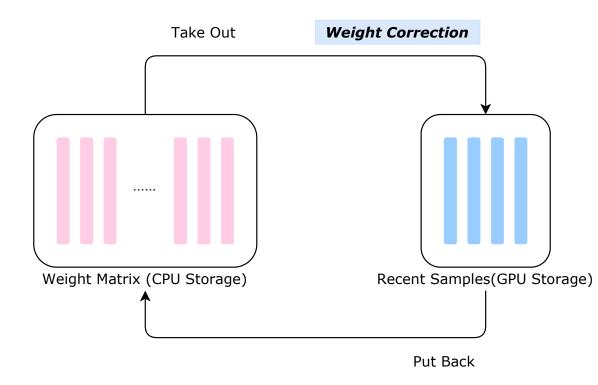
- Need to compute the similarity with the features and all the instance vectors
  - (batch\_size  $\times$  128)  $\times$  (128  $\times$  1.28M)
- How to sample the negative instance vectors for each iteration?
  - Goal: # negative instance vectors is small & performance almost does not drop
  - e.g. (batch\_size × 128) × (128 × 4096)

## Results on Sampling Strategy

- Sampling Strategy
  - Sample the instance vectors in recent iterations (abbv. recent)



#### Weight Correction for Instance Classifier



SGD optimizer:

$$egin{aligned} \mathbf{u}_i^{(t+1)} &:= m \mathbf{u}_i^{(t)} + (\mathbf{g}_i^{(t)} + \lambda \mathbf{w}_i^{(t)}) \ \mathbf{w}_i^{(t+1)} &:= \mathbf{w}_i^{(t)} - \eta \mathbf{u}_i^{(t+1)} \end{aligned}$$

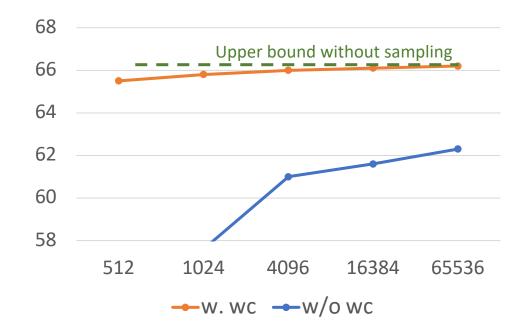
Weight Correction:

$$\begin{pmatrix} \mathbf{w}_i^{(t+t')} \\ \mathbf{u}_i^{(t+t')} \end{pmatrix} := \begin{pmatrix} 1 - \eta \cdot \lambda & -\eta \cdot m \\ \lambda & m \end{pmatrix}^{t'} \begin{pmatrix} \mathbf{w}_i^{(t)} \\ \mathbf{u}_i^{(t)} \end{pmatrix}$$

#### Results on Weight Correction

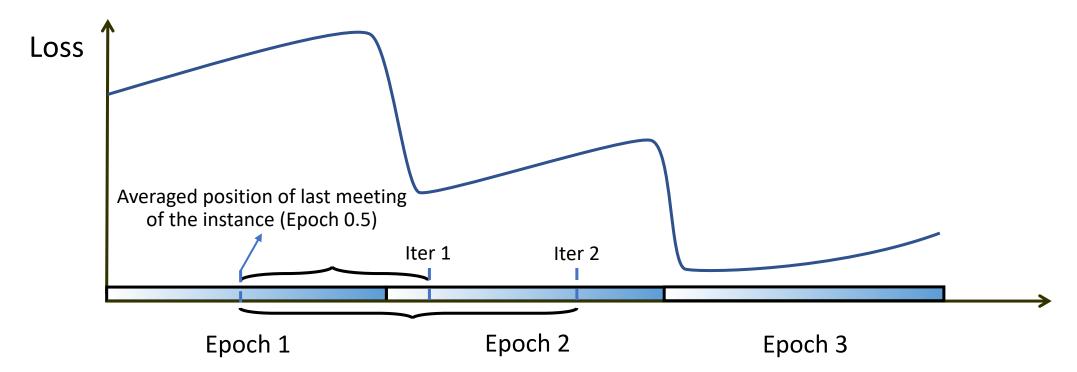
#### • Weight correction

- With weight correction (abbv. w. wc)
- Without weight correction (abbv. w/o wc)



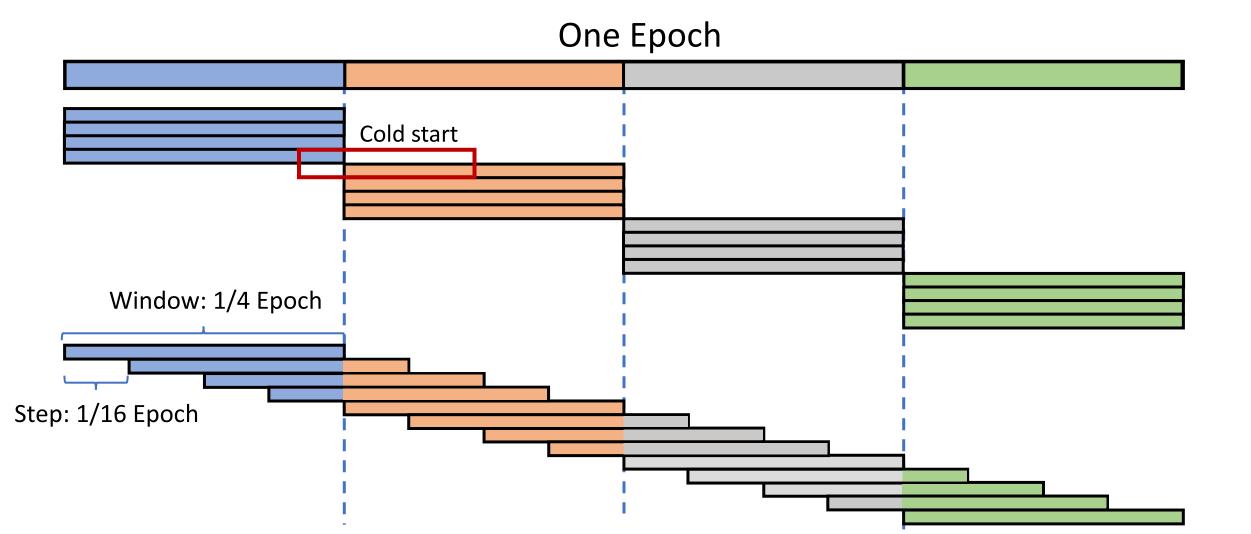
#### Weight Matrix of Classifier is Updated too Slowly

• Observation: loss goes up inside each epoch



• Example forgetting: Further away from the last meeting of this instance, easier to be forgotten, the greater the loss

#### Solution: Decrease # examples per epoch



#### Results - Linear Evaluation on ImageNet

Method	Setting	Top-1 Acc	Top-5 Acc
SimCLR		64.7	86.0
MoCo v2		64.1	85.7
PIC (ours)		66.2	87.0
PIC (ours)	+ sliding window	<b>67.3</b> +2.6	87.6
SimCLR		66.6	87.3
MoCo v2		67.5	88.0
PIC (ours)		68.5	88.7
PIC (ours)	+ sliding window	<b>69.0</b> +1.5	88.8

#### Results – System-Level Comparison on ImageNet

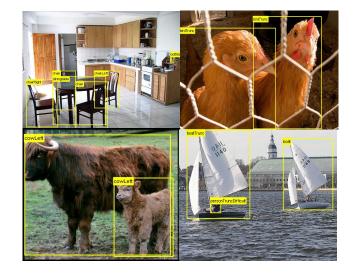
Method	Conference	Top-1 Acc	Тор-5 Асс
PIRL	CVPR 2020	63.2	-
CPC v2	ICML 2020	63.8	85.3
СМС	ECCV 2020	64.1	-
SimCLR	ICML 2020	69.3	89.0
MoCo v2	Tech Report	71.1	-
PIC (ours)	NeurIPS 2020	70.8	90.0

#### Performance on Downstream Tasks



#### Fine-grained Recognition on iNaturalist

Method	Top-1 acc	Top-5 acc
Supervised	66.0	85.6
МоСо	65.7	85.7
PIC (ours)	66.0	85.7



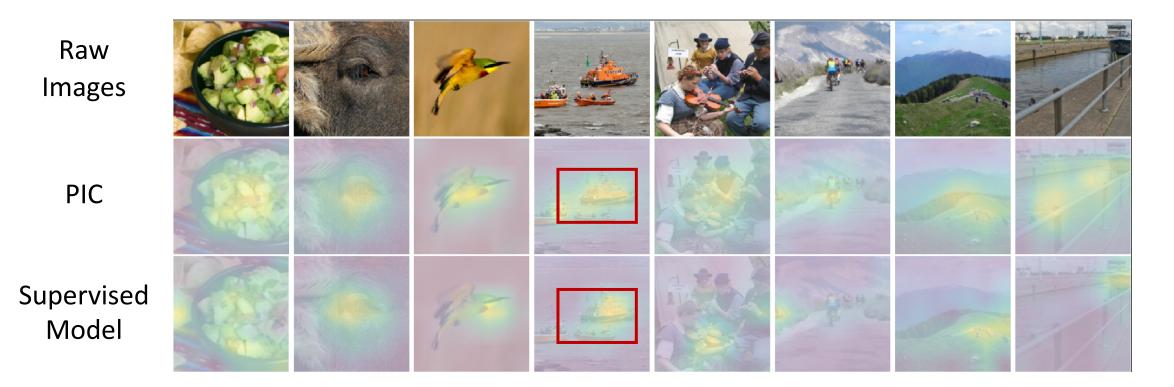
#### **Object Detection on Pascal VOC**

Method	AP50	ΑΡ	AP75
Supervised	81.3	53.5	58.8
МоСо	81.5	55.9	62.6
PIC (ours)	82.4	57.1	63.4

# Analysis

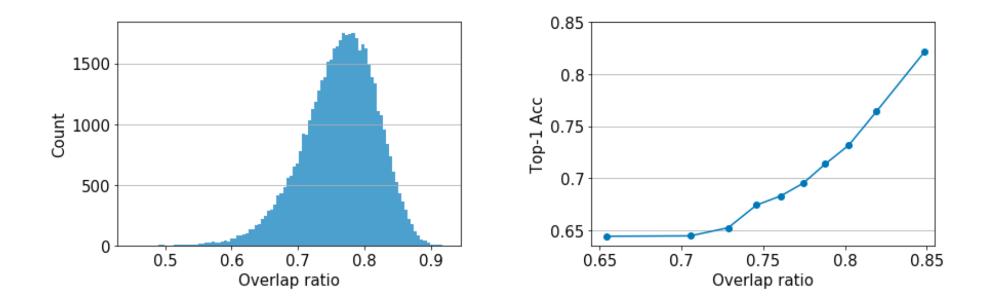
#### Relations with supervised classification

 Saliency maps generated by the supervised pre-trained model and unsupervised pre-trained model (PIC)



#### Relations with supervised classification

- Statistical analysis
  - compute the overlap over saliency maps of supervised model and PIC
  - study the correlations between the overlap and the accuracy



#### Failure case

